

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

In re:

GRITSTONE BIO, INC.,<sup>1</sup>

Debtor.

Chapter 11

Case No. 24-12305 (KBO)

**STATEMENT OF FINANCIAL AFFAIRS FOR  
GRITSTONE BIO, INC. (CASE NO. 24-12305)**

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<sup>1</sup> The Debtor's mailing address is 4698 Willow Road, Pleasanton, CA 94588, and the last four digits of the Debtor's federal tax identification number is 9534.

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**GLOBAL NOTES AND STATEMENT OF LIMITATIONS, METHODOLOGY,  
AND DISCLAIMERS REGARDING THE DEBTOR'S SCHEDULES OF  
ASSETS AND LIABILITIES AND STATEMENTS OF FINANCIAL AFFAIRS**

Gritstone Bio, Inc., debtor in possession in the above-captioned chapter 11 case (the “Debtor”), has filed its Schedules of Assets and Liabilities (the “Schedules”) and Statement of Financial Affairs (the “Statement”) in the United States Bankruptcy Court for the District of Delaware (the “Bankruptcy Court”). The Debtor, with the assistance of its proposed financial advisor, PwC US Business Advisory LLP (“PwC”), prepared the Schedules and Statement in accordance with section 521 of title 11 of the United States Code (the “Bankruptcy Code”), Rule 1007 of the Federal Rules of Bankruptcy Procedure (the “Bankruptcy Rules”), and Rule 1007-1 of the Local Rules of Bankruptcy Practice and Procedure of the United States Bankruptcy Court for the District of Delaware (the “Local Rules”).

Vassiliki (“Celia”) Economides has signed the Schedules and Statement. Ms. Economides serves as the Chief Financial Officer of the Debtor. In reviewing and signing the Schedules and Statement, Ms. Economides has necessarily relied upon the efforts, statements, and representations of the Debtor’s personnel and professionals. Given the scale of the Debtor’s business and complexity of its business covered by the Schedules and Statement, Ms. Economides has not (and could not have) personally verified the accuracy of each such statement and representation, including statements and representations concerning amounts owed to creditors.

In preparing the Schedules and Statements, the Debtor and its professionals relied on financial data derived from the Debtor’s books and records that was available at the time of such preparation. Although the Debtor has made every reasonable effort possible to date to ensure the accuracy and completeness of the Schedules and Statement, subsequent information or discovery may result in material changes to the Schedules and Statement. As a result, inadvertent errors or omissions may exist. For the avoidance of doubt, the Debtor hereby reserves its rights to amend and supplement the Schedules and Statement as may be necessary or appropriate.

The Debtor and its agents and attorneys do not guarantee or warrant the accuracy or completeness of the data that is provided herein and shall not be liable for any loss or injury arising out of or caused in whole or in part by the acts, errors, or omissions, whether negligent or otherwise, in procuring, compiling, collecting, interpreting, reporting, communicating, or delivering the information contained herein. While commercially reasonable efforts have been made to provide accurate and complete information herein, inadvertent errors or omissions may exist. The Debtor and its agents and attorneys expressly do not undertake any obligation to update,

modify, revise, or re-categorize the information provided herein, or to notify any third party should the information be updated, modified, revised, or re-categorized, except as required by applicable law. In no event shall the Debtor or its officers, employees, agents, or professionals be liable to any third party for any direct, indirect, incidental, consequential, or special damages (including, but not limited to, damages arising from the disallowance of a potential claim against the Debtor or damages to business reputation, lost business, or lost profits), whether foreseeable or not and however caused, even if the Debtor or its officers, employees, agents, attorneys, or professionals are advised of the possibility of such damages.

### **Global Notes and Overview of Methodology**

1. **Description of Cases.** On October 10, 2024 (the “Petition Date”) the Debtor filed a voluntary petition for relief under chapter 11 of the Bankruptcy Code with the Bankruptcy Court. The Debtor is operating its business and managing its property as a debtor in possession pursuant to sections 1107(a) and 1108 of the Bankruptcy Code. These Global Notes apply to the Schedules and Statement filed by the Debtor.
2. **Global Notes Control.** These Global Notes pertain to and comprise an integral part of the Debtor’s Schedules and Statement and should be referenced in connection with any review thereof. In the event that the Schedules and Statement conflict with these Global Notes, these Global Notes shall control.
3. **Reservations and Limitations.** Reasonable efforts have been made to prepare and file complete and accurate Schedules and Statement based upon the information available in the Debtor’s books and records. However, as noted above, inadvertent errors or omissions may exist. The Debtor reserves all rights to amend and supplement the Schedules and Statement as may be necessary or appropriate. Nothing contained in the Schedules and Statement constitutes a waiver of the Debtor’s rights or an admission of any kind with respect to this Chapter 11 Case, including, but not limited to, any rights or claims of the Debtor against any third party or issues involving substantive consolidation, equitable subordination, or defenses or causes of action arising under the provisions of chapter 5 of the Bankruptcy Code or any other relevant applicable bankruptcy or non-bankruptcy laws to recover assets or avoid transfers. Any specific reservation of rights contained elsewhere in the Global Notes does not limit in any respect the general reservation of rights contained in this paragraph.
  - a. **No Admission.** Nothing contained in the Schedules and Statement is intended or should be construed as an admission or stipulation of the validity of any claim against the Debtor, any assertion made therein or herein, or a waiver of the Debtor’s rights to dispute any claim or assert any cause of action or defense against any party.
  - b. **Recharacterization.** Notwithstanding that the Debtor has made reasonable efforts to characterize, classify, categorize, or designate correctly certain claims, assets, executory contracts, unexpired leases, and other items reported in the Schedules and Statement, the Debtor nonetheless may have improperly characterized, classified, categorized, or designated certain items. The Debtor thus reserves all

rights to recharacterize, reclassify, recategorize, or redesignate items reported in the Schedules and Statement at a later time as is necessary and appropriate.

- c. **Classifications.** Listing (i) a claim on Schedule D as “secured,” (ii) a claim on Schedule E/F as “priority” or “unsecured,” or (iii) a contract on Schedule G as “executory” or “unexpired” does not constitute an admission by the Debtor of the legal rights of the claimant or contract counterparty, or a waiver of the Debtor’s rights to recharacterize or reclassify such claim or contract.
- d. **Claims Description.** Any failure to designate a claim on a given Debtor’s Schedules and Statement as “disputed,” “contingent,” or “unliquidated” does not constitute an admission by the Debtor that such amount is not “disputed,” “contingent,” or “unliquidated.” The Debtor reserves all rights to dispute, or assert offsets or defenses to, any claim reflected on its Schedules and Statement on any grounds, including, without limitation, liability or classification, or otherwise to designate subsequently such claims as “disputed,” “contingent,” or “unliquidated” or object to the extent, validity, enforceability, priority, or avoidability of any claim. Moreover, listing a claim does not constitute an admission of liability by the Debtor. The Debtor reserves all rights to amend its Schedules and Statement as necessary and appropriate, including, but not limited to, with respect to claim description and designation.
- e. **Estimates and Assumptions.** The preparation of the Schedules and Statement required the Debtor to make reasonable estimates and assumptions with respect to the reported amounts of assets and liabilities, the amount of contingent assets and contingent liabilities on the date of the Schedules and Statement, and the reported amounts of revenues and expenses during the applicable reporting periods. Actual results could differ from such estimates.
- f. **Intellectual Property Rights.** Exclusion of certain intellectual property should not be construed to be an admission that such intellectual property rights have been abandoned, have been terminated or otherwise expired by their terms, or have been assigned or otherwise transferred pursuant to a sale, acquisition, or other transaction. Conversely, inclusion of certain intellectual property should not be construed to be an admission that such intellectual property rights have not been abandoned, have not been terminated or otherwise expired by their terms, or have not been assigned or otherwise transferred pursuant to a sale, acquisition, or other transaction.
- g. **Insiders.** Certain Statement questions require the Debtor to disclose payments to “insiders.” Solely for purposes of these Statement questions, the Debtor included as “insiders” the following: (a) the Debtor’s directors, (b) the Debtor’s officers, (c) a person in control of the Debtor, and (d) any relatives of any of the foregoing (if known by the Debtor), each as determined as of the Petition Date. Persons have been included in the Statement for informational purposes only, and the listing of an individual as an insider is not intended to be, and should not be construed as, a legal characterization of that person as an insider and does not act as an admission

of any fact, claim, right, or defense, and all such rights, claims, and defenses are reserved.

The listing of a party as an insider for purposes of the Schedules and Statement is not intended to be, nor should it be, construed as an admission of any fact, right, claim, or defense, and all such rights, claims, and defenses are hereby expressly reserved. Information regarding the individuals listed as insiders in the Schedules and Statement has been included for informational purposes only and such information may not be used for the purposes of determining control of the Debtor, the extent to which any individual exercised management responsibilities or functions, corporate decision-making authority over the Debtor, or whether such individual could successfully argue that he or she is not an insider under applicable law, including the Bankruptcy Code and federal securities laws, or with respect to any theories of liability or any other purpose.

In the circumstance where the Schedules and Statement require information regarding “insiders,” the Debtor has included information with respect to the individuals whom the Debtor believes are included in the definition of “insider” set forth in section 101(31) of the Bankruptcy Code during the relevant time periods.

#### 4. **Methodology.**

- a. **Basis of Presentation.** The Schedules and Statement do not purport to represent financial statements prepared in accordance with Generally Accepted Accounting Principles in the United States (“GAAP”), nor are they intended to be fully reconciled to the financial statements of the Debtor. The Schedules and Statement contain unaudited information that is subject to further review and potential adjustment. The Schedules and Statement reflect the Debtor’s reasonable efforts to report the assets and liabilities of the Debtor on an unconsolidated basis except as noted on the applicable Schedules and Statement or herein.
- b. **Reporting Date.** Except as otherwise noted in the Global Notes or Schedules and Statement, asset values are reported as of the month ending September 30, 2024, the most recent monthly accounting close preceding the Petition Date and liabilities are valued as of the Petition Date.
- c. **Duplication.** Certain of the Debtor’s assets, liabilities, and prepetition payments may properly be disclosed in response to multiple parts of the Statement and Schedules. To the extent these disclosures would be duplicative, the Debtor has determined only to list such assets, liabilities, and prepetition payments once.

**Net Book Value.** In certain instances, current market valuations for individual items of property and other assets are neither maintained by, nor readily available to, the Debtor. It would be prohibitively expensive, unduly burdensome, and an inefficient use of estate assets for the Debtor to obtain current market valuations for its assets. In addition, the Debtor is currently marketing its assets to be sold to a potential buyer for the highest or otherwise best price. Accordingly, unless

otherwise indicated, the Debtor's Schedules and Statements reflect net book values as of the close of business on September 30, 2024 in the Debtor's books and records. Additionally, because the book values of certain assets, such as equipment, work in process, patents, trademarks, copyrights, and other intangible assets, may materially differ from their fair market values, they may be listed as undetermined amounts. The Debtor's intellectual property was generally not capitalizable under GAAP. Furthermore, as applicable, assets that have fully depreciated or were expensed for accounting purposes may not appear in the Schedules and Statements if they have no net book value. As such, market values may vary from net book value, and such variance may be material. The Debtor reserves all rights to amend, supplement, or adjust the asset values set forth herein.

- d. **Property and Equipment.** Unless otherwise indicated, owned property and equipment are valued at net book value. The Debtor may lease real property, furniture, fixtures, and equipment from certain third-party lessors. To the extent possible, any such leases are listed in the Schedules and Statement. Nothing in the Schedules and Statement is, or should be construed as, an admission as to the determination of the legal status of any lease (including whether any lease is a true lease or a financing arrangement), and the Debtor reserves all rights with respect thereto.
- e. **Allocation of Liabilities.** The Debtor allocated liabilities between the prepetition and postpetition periods based on the information and research conducted in connection with the preparation of the Schedules and Statement. As additional information becomes available and further research is conducted, the allocation of liabilities between the prepetition and postpetition periods may change.

The Debtor reserves the right to, but is not required to, amend the Schedules and Statement as they deem appropriate to reflect this. The liabilities listed on the Schedules and Statement do not reflect any analysis of claims under section 503(b)(9) of the Bankruptcy Code. Accordingly, the Debtor reserves all rights to dispute or challenge the validity of any asserted claims under section 503(b)(9) of the Bankruptcy Code or the characterization of the structure of any such transaction or any document or instrument related to any creditor's claim.

- f. **Undetermined Amounts.** Claim amounts that could not readily be quantified by the Debtor are scheduled as "undetermined." The description of an amount as "undetermined" is not intended to reflect upon the materiality of the amount.
- g. **Unliquidated Amounts.** Amounts that could not be fairly quantified by the Debtor are scheduled as "unliquidated" or "unknown."
- h. **Totals.** All totals that are included in the Schedules and Statement represent totals of all known amounts. To the extent there are unknown or undetermined amounts, the actual total may be different than the listed total.

- i. **Guarantees and Other Secondary Liability Claims.** The Debtor has exercised reasonable efforts to locate and identify guarantees in its executory contracts, unexpired leases, secured financings, and other such agreements. Where guarantees have been identified, they have been included in the relevant Schedule G with respect to leases and Schedule H with respect to credit agreements. The Debtor may have inadvertently omitted guarantees embedded in its contractual agreements and may identify additional guarantees as it continues its review of its books and records and contractual agreements.
- j. **Excluded Assets and Liabilities.** The Debtor has excluded the following categories of assets and liabilities from the Schedules and Statement: certain deferred rent charges, accounts, or reserves recorded only for purposes of complying with the requirements of GAAP; and certain accrued liabilities. Other immaterial assets and liabilities may also have been excluded.
- k. **Liens.** The inventories, property, and equipment listed in the Schedules and Statement are presented without consideration of any liens.
- l. **Currency.** Unless otherwise indicated, all amounts are reflected in U.S. dollars.
- m. **Setoffs.** The Debtor may incur setoffs and net payments in the ordinary course of business. Such setoffs and nettings may occur due to a variety of transactions or disputes including, but not limited to counterparty settlements, and deposits received. These normal, ordinary course setoffs and nettings are due to the nature of the Debtor's relationships. Such setoffs and other similar rights may have been accounted for when scheduling certain amounts; these ordinary course setoffs are not independently accounted for and as such are or may be excluded from the Debtor's Schedules and Statement. In addition, some amounts listed in the Schedules and Statement may have been affected by setoffs or nettings by third parties of which the Debtor is not yet aware. The Debtor reserves all rights to challenge any setoff and/or recoupment rights that may be asserted.
- n. **Payment of Prepetition Claims Pursuant to First Day Orders.** The Bankruptcy Court has entered orders (the "First Day Orders") authorizing, but not directing, the Debtor to, among other things, pay certain prepetition (a) claims; (b) taxes; (c) employee wages, salaries, and other compensation and benefits; and (d) obligations related to the use of the Debtor's cash management system. Where the Schedules and Statement list creditors and set forth the Debtor's scheduled amounts attributable to such claims, such scheduled amounts reflect balances owed as of the Petition Date. To the extent any adjustments are necessary for any payments made on account of such claims following the commencement of this Chapter 11 Case pursuant to the authority granted to the Debtor by the Bankruptcy Court under the First Day Orders, such adjustments have been included in the Schedules and Statement unless otherwise noted on the applicable Schedule or Statement. The Debtor reserves the right to update the Schedules and Statement to reflect payments made pursuant to the First Day Orders.

- o. **Intercompany Payments.** The Debtor has one subsidiary based in the UK, referred to as Gritstone Biopharmaceuticals UK LTD (“Gritstone UK”). This subsidiary is non-operating and does not own any assets.

## 5. Specific Schedules Disclosures.

- a. **Schedule A/B – Real and Personal Property.** Asset values are reported as of September 30, 2024, unless otherwise noted herein.
- b. **Schedule A/B, Part 1 – Cash and Cash Equivalents.** Details with respect to the Debtor’s cash management system and bank accounts are provided in the Cash Management Motion and any orders of the Bankruptcy Court granting the Cash Management Motion. As described therein, the Debtor utilizes a centralized cash management system.

The bank account balances listed are as of the Petition Date. For purposes of the Schedules, highly liquid debt instruments with original maturities of 3 months or less are considered to be cash equivalents.

- c. **Schedule A/B, Part 2 – Deposits and Prepayments.** The Bankruptcy Court, pursuant to the *Interim Order (I) Approving Proposed Form of Adequate Assurance of Payment to Utility Companies; (II) Establishing Procedures for Resolving Objections by Utility Companies; (III) Prohibiting Utility Companies from Altering, Refusing, or Discontinuing Service; and (IV) Granting Related Relief* [Docket No. 39], has authorized the Debtor to provide adequate assurance of payment for future utility services. Such deposits are not listed on Schedule A/B, Part 2, which has been prepared as of the Petition Date.
- d. **Schedule A/B, Part 4 – Investments; Non-Publicly Traded Stock and Interests in Incorporated and Unincorporated Businesses, including any Interest in an LLC, Partnership, or Joint Venture.** Ownership interests in Gritstone UK have been listed in Schedule A/B, Part 4, at undetermined amounts.
- e. **Schedule A/B, Part 7 – Office Furniture, Fixtures, and Equipment and Collectibles; Part 8 – Fixed Asset Listing.** The Debtor tracks fixed assets and depreciation in a fixed asset system connected within its accounting system. Generally, fixed asset descriptions may include very broad descriptions (e.g., “Computer Hardware”), may include a vendor name in lieu of an asset description, may have multiple line items associated with a single asset if multiple general ledger transactions occurred for a single asset, or may combine multiple assets into one line item on the Schedules and Statements. Assets have been categorized based on the Debtor’s general ledger asset classifications and categorizations included in the company’s reconciliations of the fixed asset accounts. Dollar amounts are presented net of accumulated depreciation and other adjustments.

f. **Schedule A/B Part 9 – Real Property – Leased Property.** The Debtor does not own any Real Property. Leased real property is recorded at cost and depreciated on a straight-line basis over the estimated useful lives of the assets. Leasehold improvements are depreciated over the shorter of the remaining expected lease term or the estimated useful lives of the assets. The general estimated life used for depreciation of buildings and improvements is 15 to 45 years. Cost of improvements and renewals are capitalized, while costs of normal maintenance and repairs are charged to expense as incurred. When property is sold or otherwise disposed of, the asset and related accumulated depreciation accounts are relieved, and any resulting gain or loss is reflected in earnings. Real property is presented net of impairment and other adjustments.

The Debtor had performed improvements for the leased properties in the ordinary course of business to support operations. Refer to Schedule AB 55 for a detailed listing of leasehold improvements.

g. **Schedule A/B; Part 10 – Intangibles and Intellectual Property.** Patents, trademarks, and other intellectual property are listed on Schedule A/B, Part 10. Considering book values of intangible assets such as patents, trademarks, copyrights, and other intangibles, may materially differ from their fair market values, they may be listed as undetermined amounts. Further, it would be prohibitively expensive, unduly burdensome, and an inefficient use of estate assets for the Debtor to obtain current market valuations for these assets. The Debtor's intellectual property was generally not capitalizable under GAAP; for instance, patents developed internally in the normal course of business are typically expensed. Furthermore, as applicable, assets that have fully depreciated or were expensed for accounting purposes may not appear in the Schedules and Statements if they have no net book value. As such, market values may vary from net book value, and such variance may be material. The Debtor reserves all rights to amend, supplement, or adjust the asset values set forth herein.

The Debtor has domain names, which do not have an associated net book value, and it is not possible to determine a total or singular current value.

The Debtor also has licenses and collaboration agreements with certain counterparties. These licenses and agreements may have an associated net book value with the current value being undeterminable.

h. **Schedule A/B, Part 11 – All Other Assets.** Assets not otherwise listed in these Schedules are included in Part 11 of Schedule A/B. Dollar amounts are presented net of impairments and other adjustments.

i. **Schedule A/B Question 72 - Tax refunds and unused net operating losses (NOLs).** For unused net operating loss carryforwards (“NOLs”) and Federal and state R&D credit carryovers (“R&D Credits”), the Debtor has scheduled the estimated NOL amounts and R&D credits in Schedule A/B Question 72. In addition, to the NOLs and R&D Credits listed below, the Debtor estimates that it will recover capitalized R&D expenses as additional deductions totaling \$200.9 million from 2025-2029. The

Debtor's response to this question is indicative of certain gross non-tax effected NOL values as compared to the GAAP net deferred tax assets associated with such NOLs. The actual tax savings from these NOLs is dependent upon, among other things, applicable tax rates for the income that they offset, and the timing, character, and amount of any future income to which they can be applied. Use of R&D Credit carryovers is dependent on the Debtor's owing income taxes to which such R&D Credits can be applied. In addition, some of the NOLs and R&D Credits are subject to ownership change limitations and the NOLs and R&D credits generally may be subject to expiration or limitations on usability now or in the future. The amounts also do not reflect the consideration of any valuation allowances recorded pursuant to GAAP, which have the effect of reducing associated deferred tax assets. Additionally, the unused NOLs listed in Schedule A/B, Question 72 reflect the amounts listed in the Debtors' books and records, may reflect NOLs accumulated for more than one tax year.

- j. **Schedule A/B.74 – Causes of Action.** Despite exercising its reasonable efforts to identify all known assets, the Debtor may not have listed all its causes of action or potential causes of action against third parties as assets in their Schedules including, but not limited to, avoidance actions arising under chapter 5 of the Bankruptcy Code and actions under other relevant non-bankruptcy laws to recover assets. Unless otherwise noted on specific responses, items reported on Schedule A/B are reported from the Debtor's books and records as of the Petition Date. The Debtor reserves all its rights with respect to any claims and causes of action they may have. Neither these Global Notes nor the Schedules shall be deemed a waiver of any such claims or causes of action or to prejudice or impair the assertion thereof in any way.
- k. **Schedule A/B.75 – Other Contingent and Unliquidated Claims or Causes of Action of Every Nature, including Counterclaims of the Debtor and Rights to Setoff Claims.** In the ordinary course of its business, the Debtor may have accrued, or may subsequently accrue, certain rights to counter-claims, cross-claims, setoffs, or refunds. Additionally, the Debtor may be party to pending litigation in which the Debtor has asserted, or may assert, claims as a plaintiff or counter-claims and/or cross-claims as a defendant. Because certain of these claims are unknown to the Debtor and not quantifiable as of the Petition Date, they may not be listed on Schedule A/B, Part 11.
- l. **Schedule D – Creditors Who Have Claims Secured by Property.** Except as otherwise set forth in any order with respect to the *Motion of the Debtor for Entry of Interim and Final Orders (I) Authorizing the Debtor to Obtain Postpetition Financing and Use Certain Cash Collateral, (II) Granting Liens and Providing Superpriority Administrative Expense Status, (III) Granting Adequate Protection to the Prepetition Secured Parties, (IV) Modifying the Automatic Stay, (V) Scheduling a Final Hearing, and (VI) Granting Related Relief* [Docket No. 80] or other stipulation or order entered by the Bankruptcy Court, the Debtor reserves its rights to dispute or challenge the validity, perfection, or immunity from avoidance of any lien purported to be granted or perfected in any specific asset to a secured creditor listed on Schedule D. Moreover, although the Debtor has scheduled claims of various creditors as secured claims, the Debtor reserves all rights to dispute or challenge the secured nature of any such

creditor's claim or the characterization of the structure of any such transaction or any document or instrument related to such creditor's claim.

The descriptions provided in Schedule D are intended only to be a summary. Reference to the applicable agreements and other related relevant documents is necessary for a complete description of the collateral and the nature, extent, and priority of any liens. To the extent the Debtor may be a co-obligor, co-mortgagor, or guarantor with respect to scheduled claims of other entities, and no claim set forth on Schedule D is intended to acknowledge claims of creditors that are otherwise satisfied or discharged by other entities.

The Debtor has not included on Schedule D parties that may believe their claims are secured through setoff rights or inchoate statutory lien rights.

**m. Schedule E/F – Creditors Who Have Unsecured Claims.**

***Part 1 – Creditors with Priority Unsecured Claims.*** Pursuant to the *Interim Order: (I) Authorizing the Payment of Certain Taxes and Fees; and (II) Granting Related Relief* [Docket No. 40] (the “Taxes Order”), the Debtor has been granted the authority to pay, in their discretion, certain tax liabilities that accrued prepetition. The Debtor is in the process of reconciling their potential tax liabilities in various jurisdictions and, consequently, such liabilities may be indicated as “Unliquidated,” and any amounts listed constitute the Debtor’s good faith estimates of the amounts of such liabilities. To the extent the Debtor paid any such prepetition taxes in accordance with the Taxes Order, the associated claims are not listed in the Debtor’s Schedules.

Furthermore, pursuant to the *Interim Order (I) Authorizing the Debtor to (A) Pay Prepetition Employee Wages, Salaries, Other Compensation, and Reimbursable Employee Expenses and (B) Continue Employee Benefits Programs and (II) Granting Related Relief* [Docket No. 36] (the “Wages Order”), the Debtor received authority to pay certain prepetition obligations, including to pay employee wages and other employee benefits, in the ordinary course of business. To the extent the Debtor paid any such prepetition wage and employee benefit obligations in accordance with the Wages Order, the associated claims are not listed in the Debtor’s Schedules.

The listing of a claim on Schedule E/F, Part 1, does not constitute an admission by the Debtor that such claim or any portion thereof is entitled to priority status.

***Part 2 – Creditors with Nonpriority Unsecured Claims.*** The liabilities identified in Schedule E/F, Part 2, are derived from the Debtor’s books and records. The Debtor made a reasonable attempt to set forth its unsecured obligations, although the actual amount of claims against the Debtor may vary from those liabilities represented on Schedule E/F, Part 2. The listed liabilities may not reflect the correct amount of any unsecured creditor’s allowed claims or the correct amount of all unsecured claims.

Schedule E/F, Part 2, contains information regarding threatened or pending litigation involving the Debtor. The amounts for these potential claims are listed as “unknown”

and are marked as contingent, unliquidated, and disputed in the Schedules and Statements.

Schedule E/F, Part 2, reflects certain prepetition amounts owing to counterparties to executory contracts and unexpired leases. Such prepetition amounts, however, may be paid in connection with the assumption or assumption and assignment of an executory contract or unexpired lease. In addition, Schedule E/F, Part 2, does not include claims that may arise in connection with the rejection of any executory contracts or unexpired leases.

As of the time of filing of the Schedules and Statement, the Debtor may not have received all invoices for payables, expenses, and other liabilities that may have accrued prior to the Petition Date. Accordingly, the information contained in Schedules D and E/F may be incomplete. The Debtor reserves its rights to amend Schedules D and E/F if and as it receives such invoices.

In the ordinary course of business, the Debtor generally receives invoices for goods and services after the delivery of such goods or services. As of the filing of the Schedules and Statement, the Debtor may not have received all invoices for payables, expenses, or liabilities that may have accrued before the Petition Date. Accordingly, the information contained in Schedules E/F may be incomplete. The Debtor reserves the right, but is not required, to amend Schedules E/F if and as it receives such invoices. The claims of individual creditors are generally listed at the amounts recorded on the Debtor's books and records and may not reflect credits or allowances due from the creditor. The Debtor reserves all of its rights concerning credits or allowances.

Schedule E/F contains information regarding pending litigation involving the Debtor. The inclusion of any litigation in the Schedules and Statement does not constitute an admission by the Debtor of liability, the validity of any action, the availability of insurance coverage, or the amount or treatment of any claims, defenses, counterclaims, or cross-claims or the amount or treatment of any potential claim resulting from any current or future litigation. For the avoidance of doubt, the Debtor preserves all defenses with respect to any lawsuit listed on Schedule E/F, including, but not limited to, the right to dispute that any of the lawsuits listed were not properly filed or that the Debtor was not properly served a complaint in accordance with applicable state or federal laws. In addition, certain litigation or claims covered by insurance policies maintained by the Debtor may be excluded from Schedule E/F.

- n. **Schedule G – Executory Contracts and Unexpired Leases.** The Debtor's business is large and complex. Although reasonable efforts have been made to ensure the accuracy of Schedule G, inadvertent errors, omissions, or overinclusion may have occurred.

Listing a contract or agreement on Schedule G does not constitute an admission that such contract or agreement is an executory contract or unexpired lease, that such contract or agreement was in effect on the Petition Date, or that such contract or

agreement is valid or enforceable. Nothing herein shall be construed as an admission, concession, or evidence that any of the contracts, agreements, and leases identified on Schedule G: (i) constitute an executory contract or unexpired lease within the meaning of section 365 of the Bankruptcy Code and other applicable law; or (ii) have not expired or been terminated or otherwise are not currently in full force and effect. Moreover, omission of a contract or lease from Schedule G does not constitute an admission that the contract or lease is not an executory contract or unexpired lease. The Debtor reserves all of its rights, including its right to seek a later determination of these issues and its right to dispute the validity, status, characterization, or enforceability of any contract or lease in Schedule G.

Certain of these contracts or leases may have been modified, amended, or supplemented by various amendments, restatements, statement of works, waivers, estoppel certificates, letters, improvement initiatives, notices to proceed, field directives, side letters, commitment letters, and other documents, instruments, and agreements that may not be listed, but are nonetheless incorporated by this reference.

Certain of the leases and contracts listed on Schedule G may contain renewal options, guarantees of payment, indemnifications, options to purchase, rights of first refusal, and other miscellaneous rights. Such rights, powers, duties, and obligations are not set forth separately on Schedule G.

The Debtor may have entered into various agreements in the ordinary course of its business, such as easements, rights of way, subordinations, nondisturbance and attornment agreements, supplemental agreements, amendments/letter agreements, title agreements, non-disclosure agreements, confidentiality agreements, and similar such agreements. These documents also may not be listed on Schedule G.

Certain of the agreements listed on Schedule G may have expired or terminated pursuant to their terms, but are listed on Schedule G in an abundance of caution.

The Debtor reserves all rights to dispute or challenge the characterization of any transaction or any document or instrument related to a creditor's claim.

In some cases, the same supplier or provider may appear multiple times in the Debtor's Schedule G. Multiple listings, if any, reflect distinct agreements between the Debtor and such supplier or provider.

The listing of any contract on Schedule G does not constitute an admission by the Debtor as to the validity of any such contract. The Debtor reserves the right to dispute the effectiveness of any such contract listed on Schedule G or to amend Schedule G at any time to remove any contract.

Omission of a contract or agreement from Schedule G does not constitute an admission that such omitted contract or agreement is not an executory contract or unexpired lease. The Debtor's rights under the Bankruptcy Code with respect to any such omitted contracts or agreements are not impaired by the omission.

- o. **Schedule H – Co-Debtors.** Although the Debtor has made every effort to ensure the accuracy of Schedule H, inadvertent errors, omissions, or inclusions may have occurred. The Debtor hereby reserves all rights to dispute the validity, status, and enforceability of any obligations set forth on Schedule H and to amend or supplement such Schedule further as necessary.

The Debtor further reserves all rights, claims, and causes of action with respect to the obligations listed on Schedule H, including the right to dispute or challenge the characterization or the structure of any transaction, document, or instrument related to a creditor's claim. The listing of a contract, guarantee, or other obligation on Schedule H shall not be deemed an admission that such obligation is binding, valid, or enforceable.

In the ordinary course of its business, the Debtor is involved in pending or threatened litigation and claims arising out of the conduct of its business. These matters may involve multiple plaintiffs and defendants, some or all of whom may assert cross-claims and counterclaims against other parties. Because such claims are listed elsewhere in the Statement and Schedules, they have not been set forth individually on Schedule H.

Schedule H may also reflect guarantees. The Debtor may not have identified certain guarantees that are embedded in the Debtor's executory contracts, unexpired leases, debt instruments, and other such agreements. Further, the Debtor believes that certain of the guarantees reflected on Schedule H may have expired or may no longer be enforceable. Thus, the Debtor reserves its right, but shall not be required, to amend the Schedules to the extent that additional guarantees are identified or such guarantees are discovered to have expired or are unenforceable.

## **6. Specific Statements Disclosures.**

- a. **Statements – Question 1 – Gross Revenues from Business.** The gross revenue from business is listed through September 30, 2024, rather than through the Petition Date. For the purposes of this question, the Debtor has classified all revenue and receipts as operating revenue. While the Debtor has not generated any revenue from product sales, the amounts reported relate to certain collaboration agreements, funding agreements, grants and contracts. These include the 2seventy Agreement, the Gilead Collaboration Agreement, the CEPI Funding Agreement, the Gates Grant Agreement and the BARDA Contract.
- b. **Statements – Question 2 – Non-Business Revenue.** Non-business revenue is listed through September 30, 2024, rather than through the Petition Date.

The Debtor's financial statements include amounts reported for "Income related to valuation of common warrants - not taxable income" as required under ASC 815. Refer to the Debtor's SEC Form 10-K for the fiscal year ended December 31, 2023 for additional information on this item.

c. **Statements – Question 3 – 90 Day Payments.** The dates in the date of payment column relate to one of the following: (i) the date of a wire transfer; (ii) the date of an ACH payment; or (iii) the clearance or issuance date for a check or money order. Although the Debtor has attempted to remove unfunded and rejected payments, there may be items in process. Therefore, certain payments reflected in Question 3 may not have been paid, and those amounts may also appear as unsecured non-priority claims in Schedule E/F as amounts owed to the same entities. Payments or transfers made within the 90 days before the Petition Date to non-employee directors and/or insiders are included in Question 4 and are not listed on Question 3.

Payments made to bankruptcy professionals are not represented within this question. All payments made to bankruptcy professionals within 1 year of the Petition Date have instead been included within SOFA 11, as these payments are related to either debt consolidation or restructuring, bankruptcy relief, or filing a bankruptcy case.

d. **Statements – Question 4 – Payments to or for the Benefit of Insiders.**

- i. **General.** For a discussion of insiders of the Debtor, refer to paragraph 3(j) of these Global Notes. The information reported on Statement, Part 2, Question 4 is representative of the total payments made to insiders, as described in paragraph 3(j) of these Global Notes during the one (1) year prior to the Petition Date. For the avoidance of doubt, the information reported on Statement, Part 2, Question 4 may include payments to individuals who may have been insiders at the time they were employed by the Debtor but are no longer employed by the Debtor.
- ii. Payments, distributions, and withdrawals credited or given to insiders listed under this question include: (i) transfers and payments to insiders made within 90 days of the Petition Date (such payments are not duplicated within Question 3); and (ii) transfers and payments that benefited any insider made within one year of the Petition Date that would otherwise be included in Question 30 herein.
- iii. **Stock-based Compensation Expense.** Refer to the Debtor's SEC Form 10-K for the fiscal year ended December 31, 2023 for additional information on this item.

e. **Statements – Question 6 – Setoffs.** The Debtor may incur setoffs and net payments in the ordinary course of business. Such setoffs and nettings may occur due to a variety of transactions or disputes including, but not limited to, counterparty settlements, pricing discrepancies, refunds, negotiations, or disputes between the Debtor and third parties. Due to the potentially voluminous nature of setoffs and nettings, it would be unduly burdensome and costly for the Debtor to list each such transaction. Therefore, ordinary course setoffs are excluded from the

Debtor's responses to Question 6 of the Statements. Moreover, the Debtor does not have any non-ordinary course setoffs to report in Question 6.

- f. **Statements – Question 7 – Legal Actions or Assignments.** The Debtor is involved in various litigation matters in the ordinary course of business. The Debtor reserves all of its rights and defenses with respect to any and all listed lawsuits and administrative proceedings. The listing of any such suits and proceedings shall not constitute an admission by the Debtor of any liabilities or that the actions or proceedings were correctly filed against the Debtor. The Debtor also reserves its rights to assert that the Debtor is not an appropriate party to such actions or proceedings.
- g. **Statements – Question 9 – Charitable Contributions.** The donations and/or charitable contributions listed in response to Question 9 represent payments made to third parties during the applicable timeframe that were recorded as such within the Debtor's books and records.
- h. **Statements – Question 11 – Payments related to bankruptcy.** Payments made to bankruptcy professionals within one year of the Petition Date are represented in the response to this question; to the extent payments were made within 90 days preceding the Petition Date, they are listed here and not duplicated in the Debtor's response to Question 3. Payments listed in the response to this question could relate to fees, expense reimbursements or retainers.
- i. **Statements – Question 26d – Financial Statements.** Over the prior two years, the Debtors have provided their financial statements to various parties, including potential lenders, investors, vendors, government entities and other interested parties either directly, through online data rooms or other diligence procedures.

**Statements – Question 28 – Payments, Distributions, or Withdrawals Credited or Given to Insiders.** Reported herein are officers, directors, managing members, general partners, members in control, controlling shareholders, or other individuals or entities in control of the Debtor as of the Petition Date. This includes shareholders known by the Debtor to hold greater than five percent of the Debtor's voting or equity securities (as reported on Form 13D or 13G, or by the Debtor's stock transfer agent). Ownership percentages for employee and non-employee insiders were obtained from most recent Form 3 or Form 4 available to the Debtor. Commercially reasonable efforts have been made to provide accurate and complete information regarding the ownership percentages reported herein.

- j. **Statements – Question 30 – Payments, Distributions, or Withdrawals Credited or Given to Insiders.** The Debtor believe that Question 30 was answered by the Debtor's response to Question 4 and have therefore not listed any payments, distributions, or withdrawals to insiders under this section.

**Right to Amend and/or Supplement**

The Debtor reserves the right to amend and/or supplement the Schedules and Statement as may be necessary or appropriate.

**General Disclaimer**

The Debtor has prepared the Schedules and Statements based on the information reflected in the Debtor's books and records. However, inasmuch as the Debtor's books and records have not been audited, the Debtor cannot warrant the absolute accuracy of these documents. The Debtor has made a diligent effort to complete these documents accurately and completely. To the extent additional information becomes available, the Debtor will amend and supplement the Schedules and Statement.

The Debtor and its officers, employees, agents, attorneys, and financial advisors do not guarantee or warrant the accuracy, completeness, or timeliness of the data that is provided herein and shall not be liable for any loss or injury arising out of or caused, in whole or in part, by the acts, errors, or omissions, whether negligent or otherwise, in procuring, compiling, collecting, interpreting, reporting, communicating, or delivering the information contained herein. The Debtor and its officers, employees, agents, attorneys, and financial advisors expressly do not undertake any obligation to update, modify, revise, or re-categorize the information provided herein or to notify any third party should the information be updated, modified, revised, or re-categorized, except as required by applicable law. In no event shall the Debtor or its officers, employees, agents, attorneys, and financial advisors be liable to any third party for any direct, indirect, incidental, consequential, or special damages (including, but not limited to, damages arising from the disallowance of a potential claim against the Debtor or damages to business reputation, lost business, or lost profits), whether foreseeable or not and however caused.

**Fill in this information to identify the case:**

Debtor Name: In re : Gritstone bio, Inc.  
 United States Bankruptcy Court for the: District Of Delaware  
 Case number (if known): 24-12305 (KBO)

Check if this is an amended filing

**Official Form 207****Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy 04/22**

The debtor must answer every question. If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known).

**Part 1: Income****1. Gross revenue from business**

None

Identify the beginning and ending dates of the debtor's fiscal year, which may be a calendar year		Sources of revenue Check all that apply	Gross revenue (before deductions and exclusions)
From the beginning of the fiscal year to filing date:	From 1/1/2024 _____ MM / DD / YYYY	to Filing date <input checked="" type="checkbox"/> Operating a business <input type="checkbox"/> Other _____	\$ 3,264,029.00
For prior year:	From 1/1/2023 _____ MM / DD / YYYY	to 12/31/2023 <input checked="" type="checkbox"/> Operating a business <input type="checkbox"/> Other _____	\$ 16,344,218.00
For the year before that:	From 1/1/2022 _____ MM / DD / YYYY	to 12/31/2022 <input checked="" type="checkbox"/> Operating a business <input type="checkbox"/> Other _____	\$ 19,945,516.00

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**2. Non-business revenue**

Include revenue regardless of whether that revenue is taxable. Non-business income may include interest, dividends, money collected from lawsuits, and royalties. List each source and the gross revenue for each separately. Do not include revenue listed in line 1.

None

Description of sources of revenue	Gross revenue from each source (before deductions and exclusions)
From the beginning of the fiscal year to filing date: From <u>1/1/2024</u> MM / DD / YYYY to Filing date	Interest Income \$ <u>1,464,831.83</u>
	Income related to valuation of common warrants - not taxable income \$ <u>6,452,781.00</u>
For prior year: From <u>1/1/2023</u> MM / DD / YYYY to <u>12/31/2023</u> MM / DD / YYYY	Interest Income \$ <u>2,278,116.39</u>
For the year before that: From <u>1/1/2022</u> MM / DD / YYYY to <u>12/31/2022</u> MM / DD / YYYY	Interest Income \$ <u>1,587,833.86</u>

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**Part 2: List Certain Transfers Made Before Filing for Bankruptcy****3. Certain payments or transfers to creditors within 90 days before filing this case**

List payments or transfers-including expense reimbursements-to any creditor, other than regular employee compensation, within 90 days before filing this case unless the aggregate value of all property transferred to that creditor is less than \$7,575 . (This amount may be adjusted on 4/01/25 and every 3 years after that with respect to cases filed on or after the date of adjustment.)

 None

Creditor's name and address	Dates	Total amount or value	Reasons for payment or transfer Check all that apply
3.1 See SOFA 3 Attachment Creditor's Name		\$ _____	<input type="checkbox"/> Secured debt <input type="checkbox"/> Unsecured loan repayments <input type="checkbox"/> Suppliers or vendors <input type="checkbox"/> Services <input type="checkbox"/> Other
Street			
City	State	ZIP Code	
Country			

**4. Payments or other transfers of property made within 1 year before filing this case that benefited any insider**

List payments or transfers, including expense reimbursements, made within 1 year before filing this case on debts owed to an insider or guaranteed or cosigned by an insider unless the aggregate value of all property transferred to or for the benefit of the insider is less than \$7,575. (This amount may be adjusted on 4/01/25 and every 3 years after that with respect to cases filed on or after the date of adjustment.) Do not include any payments listed in line 3. Insiders include officers, directors, and anyone in control of a corporate debtor and their relatives; general partners of a partnership debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(31).

 None

Insider's Name and Address	Dates	Total amount or value	Reason for payment or transfer
4.1 See SOFA 4 Attachment Insider's Name		\$ _____	
Street			
City	State	ZIP Code	
Country			
Relationship to Debtor			

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**5. Repossessions, foreclosures, and returns**

List all property of the debtor that was obtained by a creditor within 1 year before filing this case, including property repossessed by a creditor, sold at a foreclosure sale, transferred by a deed in lieu of foreclosure, or returned to the seller. Do not include property listed in line 6.

 None

Creditor's Name and Address	Description of the Property	Date	Value of property
5.1 Creditor's Name _____	_____	_____	\$ _____
Street _____	_____	_____	_____
City _____	State _____	ZIP Code _____	_____
Country _____	_____	_____	_____

**6. Setoffs**

List any creditor, including a bank or financial institution, that within 90 days before filing this case set off or otherwise took anything from an account of the debtor without permission or refused to make a payment at the debtor's direction from an account of the debtor because the debtor owed a debt.

 None

Creditor's Name and Address	Description of the action creditor took	Date action was taken	Amount
6.1 Creditor's Name _____	_____	_____	\$ _____
Street _____	_____	_____	_____
Last 4 digits of account number: XXXX- _____	_____	_____	_____
City _____	State _____	ZIP Code _____	_____
Country _____	_____	_____	_____

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**Part 3: Legal Actions or Assignments****7. Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits**

List the legal actions, proceedings, investigations, arbitrations, mediations, and audits by federal or state agencies in which the debtor was involved in any capacity—within 1 year before filing this case.

None

Case title	Nature of case	Court or agency's name and address	Status of case
In re Gritstone Bio. Inc. 7.1 Securities Litigation	Securities Litigation	California Northern District Court Name 450 Golden Gate Avenue Street	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
<b>Case number</b>		Box 36060	
3:24-cv-03640-CRB		San Francisco CA 94102-3489 City State ZIP Code	
		Country	
7.2 Granville v. Allen et al.	Derivative Action under Securities Exchange Act	California Northern District Court Name 450 Golden Gate Avenue Street	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
<b>Case number</b>		Box 36060	
3:24-cv-06018-CRB		San Francisco CA 94102-3489 City State ZIP Code	
		Country	
7.3 Zhu v. Gritstone Bio, Inc.	Civil Action Lawsuit	Middlesex Superior Court in the Commonwealth of Massachusetts Name 200 Tradecenter Dr. Street	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
<b>Case number</b>		Woburn MA 01801 City State ZIP Code	
2481-cv-02020		Country	

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**8. Assignments and receivership**

List any property in the hands of an assignee for the benefit of creditors during the 120 days before filing this case and any property in the hands of a receiver, custodian, or other court-appointed officer within 1 year before filing this case.

 None

Custodian's name and address	Description of the Property	Value
8.1 Custodian's name  Street  City      State      ZIP Code	<b>Case title</b>  <b>Case number</b>	\$  <b>Court name and address</b>  Name  Street  City      State      ZIP Code  Country
Country	<b>Date of order or assignment</b>	

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**Part 4: Certain Gifts and Charitable Contributions**

9. List all gifts or charitable contributions the debtor gave to a recipient within 2 years before filing this case unless the aggregate value of the gifts to that recipient is less than \$1,000

None

Recipient's name and address	Description of the gifts or contributions	Dates given	Value
9.1 Friends of Cancer Creditor's Name 1800 M Street NW, Suite 1050 South Street	Donation	5/17/2023	\$ 20,000.00
<hr/>			
<hr/>			
Washington DC 20036 City State ZIP Code			
<hr/>			
Country			
<b>Recipient's relationship to debtor</b>			
None			
9.2 The Ohio State University Foundation Creditor's Name 2200 Olentangy River Road Street	Donation	5/5/2023	\$ 5,000.00
<hr/>			
<hr/>			
Columbus OH 43210 City State ZIP Code			
<hr/>			
Country			
<b>Recipient's relationship to debtor</b>			
None			

Name

**Part 5: Certain Losses****10. All losses from fire, theft, or other casualty within 1 year before filing this case.** None

Description of the property lost and how the loss occurred	Amount of payments received for the loss If you have received payments to cover the loss, for example, from insurance, government compensation, or tort liability, list the total received. List unpaid claims on Official Form 106A/B (Schedule A/B: Assets – Real and Personal Property).	Date of loss	Value of property lost
10.1		\$	

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**Part 6: Certain Payments or Transfers****11. Payments related to bankruptcy**

List any payments of money or other transfers of property made by the debtor or person acting on behalf of the debtor within 1 year before the filing of this case to another person or entity, including attorneys, that the debtor consulted about debt consolidation or restructuring, seeking bankruptcy relief, or filing a bankruptcy case.

None

Who was paid or who received the transfer?	If not money, describe any property transferred	Dates	Total amount or value
11.1 See SOFA 11 Attachment			\$ _____

**Address**

Street \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

Country \_\_\_\_\_

**Email or website address****Who made the payment, if not debtor?****12. Self-settled trusts of which the debtor is a beneficiary**

List any payments or transfers of property made by the debtor or a person acting on behalf of the debtor within 10 years before the filing of this case to a self-settled trust or similar device.

Do not include transfers already listed on this statement.

None

Name of trust or device	Describe any property transferred	Dates transfers were made	Total amount or value
12.1			\$ _____

**Trustee**\_\_\_\_\_  
\_\_\_\_\_

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**13. Transfers not already listed on this statement**

List any transfers of money or other property - by sale, trade, or any other means - made by the debtor or a person acting on behalf of the debtor within 2 years before the filing of this case to another person, other than property transferred in the ordinary course of business or financial affairs. Include both outright transfers and transfers made as security. Do not include gifts or transfers previously listed on this statement.

None

Who received transfer?	Description of property transferred or payments received or debts paid in exchange	Date transfer was made	Total amount or value
13.1 _____	_____	_____	\$ _____

**Address**

Street \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

Country \_\_\_\_\_

**Relationship to Debtor**\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**Part 7: Previous Locations****14. Previous addresses**

List all previous addresses used by the debtor within 3 years before filing this case and the dates the addresses were used.

 Does not apply

Address	Dates of occupancy	
14.1 40 Erie Street Street STE 120	From 2/11/2016	To 9/1/2023
Cambridge MA 02139 City State ZIP Code		
Country		
14.2 21 Erie Street Street 1st Floor	From 9/6/2018	To 9/1/2023
Cambridge MA 02139 City State ZIP Code		
Country		

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**Part 8: Health Care Bankruptcies****15. Health Care bankruptcies**

Is the debtor primarily engaged in offering services and facilities for:

- diagnosing or treating injury, deformity, or disease, or
- providing any surgical, psychiatric, drug treatment, or obstetric care?

 No. Go to Part 9. Yes. Fill in the information below.

Facility Name and Address	Nature of the business operation, including type of services the debtor provides	If debtor provides meals and housing, number of patients in debtor's care
15.1 Facility Name		
Street	<b>Location where patient records are maintained</b> (if different from facility address). If electronic, identify any service provider.	How are records kept?
City	State	ZIP Code
Country		Check all that apply:
		<input type="checkbox"/> Electronically
		<input type="checkbox"/> Paper

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**Part 9: Personally Identifiable Information****16. Does the debtor collect and retain personally identifiable information of customers?** No. Yes. State the nature of the information collected and retained. \_\_\_\_\_

Does the debtor have a privacy policy about that information?

 No Yes**17. Within 6 years before filing this case, have any employees of the debtor been participants in any ERISA, 401(k), 403(b), or other pension or profit-sharing plan made available by the debtor as an employee benefit?** No. Go to Part 10. Yes. Does the debtor serve as plan administrator? No. Go to Part 10. Yes. Fill in below:

Name of plan	Employer identification number of the plan
Gritstone Bio, Inc. 401(k) Plan (Fidelity Investments is Record 17.1 Keeper)	EIN: FEIN 47-48

Has the plan been terminated?

 No Yes

Name

**Part 10: Certain Financial Accounts, Safe Deposit Boxes, and Storage Units****18. Closed financial accounts**

Within 1 year before filing this case, were any financial accounts or instruments held in the debtor's name, or for the debtor's benefit, closed, sold, moved, or transferred?

Include checking, savings, money market, or other financial accounts; certificates of deposit; and shares in banks, credit unions, brokerage houses, cooperatives, associations, and other financial institutions.

None

Financial institution name and address	Last 4 digits of account number	Type of account	Date account was closed, sold, moved, or transferred	Last balance before closing or transfer
18.1 Name _____  Street _____  City _____ State _____ ZIP Code _____  Country _____	XXXX- _____	<input type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Money market <input type="checkbox"/> Brokerage <input type="checkbox"/> Other _____	_____	\$ _____

**19. Safe deposit boxes**

List any safe deposit box or other depository for securities, cash, or other valuables the debtor now has or did have within 1 year before filing this case.

None

Depository institution name and address	Names of anyone with access to it	Description of the contents	Does debtor still have it?
19.1 Name _____  Street _____  City _____ State _____ ZIP Code _____  Country _____	Address _____	_____	<input type="checkbox"/> No <input type="checkbox"/> Yes

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**20. Off-premises storage**

List any property kept in storage units or warehouses within 1 year before filing this case. Do not include facilities that are in a part of a building in which the debtor does business.

None

Facility name and address	Names of anyone with access to it	Description of the contents	Does debtor still have it?
20.1 Fisher Name 1001 Aldridge Rd Street	N/A - General Operations Use	Drug product and cell bank	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes
Vacaville City	CA State	95688 ZIP Code	<b>Address</b>
Country			
20.2 Fisher Name 14665 Rothgeb Dr Street	N/A - General Operations Use	Drug product and cell bank	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes
Rockville City	MD State	20850 ZIP Code	<b>Address</b>
Country			
20.3 NCS Moving Services Name 7307 Edgewater Drive #D Street	N/A - General Operations Use	6 - 8 pallets of miscellaneous items	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes
Oakland City	CA State	94621 ZIP Code	<b>Address</b>
Country			

Name

**Part 11: Property the Debtor Holds or Controls That the Debtor Does Not Own****21. Property held for another**

List any property that the debtor holds or controls that another entity owns. Include any property borrowed from, being stored for, or held in trust. Do not list leased or rented property.

 None

Owner's name and address	Location of the property	Description of the property	Value
21.1 Name  Street  City      State      ZIP Code  Country			\$ _____

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**Part 12: Details About Environmental Information**

For the purpose of Part 12, the following definitions apply:

- *Environmental law* means any statute or governmental regulation that concerns pollution, contamination, or hazardous material, regardless of the medium affected (air, land, water, or any other medium).
- *Site* means any location, facility, or property, including disposal sites, that the debtor now owns, operates, or utilizes or that the debtor formerly owned, operated, or utilized.
- *Hazardous material* means anything that an environmental law defines as hazardous or toxic, or describes as a pollutant, contaminant, or a similarly harmful substance.

**Report all notices, releases, and proceedings known, regardless of when they occurred.****22. Has the debtor been a party in any judicial or administrative proceeding under any environmental law? Include settlements and orders.** No Yes. Provide details below.

Case title	Court or agency name and address	Nature of the case	Status of case
22.1 _____ Name Street	   	   	<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
Case Number _____  City _____ State _____ ZIP Code _____  Country _____			

**23. Has any governmental unit otherwise notified the debtor that the debtor may be liable or potentially liable under or in violation of an environmental law?** No Yes. Provide details below.

Site name and address	Governmental unit name and address	Environmental law, if known	Date of notice
23.1 _____ Name Street  City _____ State _____ ZIP Code _____	    Name Street  City _____ State _____ ZIP Code _____  Country _____		

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**24. Has the debtor notified any governmental unit of any release of hazardous material?** No Yes. Provide details below.

Site name and address	Governmental unit name and address	Environmental law, if known	Date of notice		
24.1 Name	Name				
Street	Street				
City	State	ZIP Code	City	State	ZIP Code
Country	Country				

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**Part 13: Details About the Debtor's Business or Connections to Any Business****25. Other businesses in which the debtor has or has had an interest**

List any business for which the debtor was an owner, partner, member, or otherwise a person in control within 6 years before filing this case. Include this information even if already listed in the Schedules.

None

Business name and address	Describe the nature of the business	Employer Identification number Do not include Social Security number or ITIN.
25.1 Gritstone Biopharmaceuticals UK LTD Name  C/O Arnold & Porter Kaye Scholer (UK) LLP Tower 42 Street  25 Old Broad Street  London EC2N 1HQ City State ZIP Code  United Kingdom Country	72110 - Research and experimental development on biotechnology	EIN: 12631333  <b>Dates business existed</b>  From 5/29/2020 To Present

**26. Books, records, and financial statements**

26a. List all accountants and bookkeepers who maintained the debtor's books and records within 2 years before filing this case.

None

Name and Address	Dates of service
26a.1 Celia Economides - Executive Vice President and Chief Financial Officer Name  5959 Horton Street Street  Suite 300  Emeryville CA 94608 City State ZIP Code  Country	From 6/2021 To Current
26a.2 James Cho - Senior Vice President, Finance and Chief Accounting Officer Name  5959 Horton Street Street  Suite 300  Emeryville CA 94608 City State ZIP Code  Country	From 11/2019 To Current

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

26b. List all firms or individuals who have audited, compiled, or reviewed debtor's books of account and records or prepared a financial statement within 2 years before filing this case.

 None

Name and Address		Dates of service	
26b.1 See SOFA 26b Attachment		From	To
Name			
Street			
City	State	ZIP Code	
Country			

26c. List all firms or individuals who were in possession of the debtor's books of account and records when this case is filed.

 None

Name and address		If any books of account and records are unavailable, explain why	
26c.1 See SOFA 26c Attachment			
Name			
Street			
City	State	ZIP Code	
Country			

26d. List all financial institutions, creditors, and other parties, including mercantile and trade agencies, to whom the debtor issued a financial statement within 2 years before filing this case.

 None

Name and address			
26d.1 Hercules Capital Funding Trust 2022-1			
Name			
1 N B Street			
Street			
Suite 2000			
San Mateo	CA	94401	
City	State	ZIP Code	
Country			

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**Name and address**

26d.2 Silicon Valley Bank

Name

11 W 42nd St

Street

New York

NY

10036

City

State

ZIP Code

Country

**27. Inventories**

Have any inventories of the debtor's property been taken within 2 years before filing this case?

 No Yes. Give the details about the two most recent inventories.

Name of the person who supervised the taking of the inventory	Date of Inventory	The dollar amount and basis (cost, market, or other basis) of each inventory
	\$	

**Name and address of the person who has possession of inventory records**

27.1

Name

Street

City State ZIP Code

Country

**28. List the debtor's officers, directors, managing members, general partners, members in control, controlling shareholders, or other people in control of the debtor at the time of the filing of this case.**

Name	Address	Position and Nature of any interest	% of interest, if any
28.1 See SOFA 28 Attachment			

**29. Within 1 year before the filing of this case, did the debtor have officers, directors, managing members, general partners, members in control of the debtor, or shareholders in control of the debtor who no longer hold these positions?** No Yes. Identify below.

Name	Address	Position and Nature of any interest	Period during which position or interest was held
29.1 Krognes, Steven	Address on file	Member, Board of Directors	From 8/2018 To 6/17/2024
29.2 Yabannavar, Vijay	Address on file	Chief Technical Development Officer	From 7/2/2019 To 2/29/2024

Debtor: Gritstone bio, Inc.

Case number (if known): 24-12305

Name

**30. Payments, distributions, or withdrawals credited or given to insiders**

Within 1 year before filing this case, did the debtor provide an insider with value in any form, including salary, other compensation, draws, bonuses, loans, credits on loans, stock redemptions, and options exercised?

 No Yes. Identify below.

Name and address of recipient	Amount of money or description and value of property	Dates	Reason for providing the value
30.1 See SOFA Question 4			
Name			
Street			
City	State	ZIP Code	
Country			
<b>Relationship to debtor</b>			

**31. Within 6 years before filing this case, has the debtor been a member of any consolidated group for tax purposes?** No Yes. Identify below.

Name of the parent corporation	Employer Identification number of the parent corporation
31.1	EIN:

**32. Within 6 years before filing this case, has the debtor as an employer been responsible for contributing to a pension fund?** No Yes. Identify below.

Name of the pension fund	Employer Identification number of the pension fund
32.1	EIN:

**WARNING** -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both.

18 U.S.C. §§ 152, 1341, 1519, and 3571.

I have examined the information in this *Statement of Financial Affairs* and any attachments and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 11/15/2024

MM / DD / YYYY

/ s / Vassiliki Economides

Printed name Vassiliki Economides

Signature of individual signing on behalf of the debtor

Position or relationship to debtor Chief Financial Officer

**Are additional pages to *Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy* (Official Form 207) attached?**

No

Yes

## In re: Gritstone bio, Inc.

Case No. 24-12305

Attachment 3

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's name	Address 1	City	State	Zip	Country	Date	Total amount or value	Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other)
3 Bridge Networks LLC	601 Montgomery Street, Suite 1825	San Francisco	CA	94111		7/16/2024	\$3,536.00	Services
3 Bridge Networks LLC	601 Montgomery Street, Suite 1825	San Francisco	CA	94111		7/31/2024	\$1,435.20	Services
3 Bridge Networks LLC	601 Montgomery Street, Suite 1825	San Francisco	CA	94111		9/25/2024	\$3,681.60	Services
3 Bridge Networks LLC	601 Montgomery Street, Suite 1825	San Francisco	CA	94111		10/9/2024	\$3,411.20	Services
Acilon Consulting LLC	459 St. Moritz Drive	Henderson	NV	89012		8/29/2024	\$10,175.00	Services
Add Life Facilities Services, Inc.	2405 De La Cruz Blvd.	Santa Clara	CA	95050		8/29/2024	\$13,243.00	Services
Allucent (US) LLC	2000 Centregreen Way, Suite 300	Cary	NC	27513		8/29/2024	\$102,594.00	Services
Amazon Web Services Inc.	P.O. Box 84023	Seattle	WA	98124-8423		7/16/2024	\$1,146.73	Services
Amazon Web Services Inc.	P.O. Box 84023	Seattle	WA	98124-8423		7/31/2024	\$28,118.24	Services
Amazon Web Services Inc.	P.O. Box 84023	Seattle	WA	98124-8423		8/29/2024	\$28,259.31	Services
Amazon Web Services Inc.	P.O. Box 84023	Seattle	WA	98124-8423		9/25/2024	\$29,752.51	Services
American Express	200 Vesey Street	New York	NY	10285		8/2/2024	\$90.00	Supplier or vendors
American Express	200 Vesey Street	New York	NY	10285		8/2/2024	\$23,747.41	Supplier or vendors
American Express	200 Vesey Street	New York	NY	10285		9/3/2024	\$26,473.03	Supplier or vendors
American Express	200 Vesey Street	New York	NY	10285		10/3/2024	\$24,787.73	Supplier or vendors
Anthem	1831 Chestnut Street	St. Louis	MO	63103		8/16/2024	\$130,247.32	Services
Anthem	1831 Chestnut Street	St. Louis	MO	63103		9/12/2024	\$181,633.07	Services
Anthem	1831 Chestnut Street	St. Louis	MO	63103		10/2/2024	\$152,625.77	Services
AT&T	PO BOX 5019	Carol Stream	IL	60197-5019		7/31/2024	\$5,402.60	Services
AT&T	PO BOX 5019	Carol Stream	IL	60197-5019		8/29/2024	\$5,402.60	Services
AT&T	PO BOX 5019	Carol Stream	IL	60197-5019		9/25/2024	\$5,436.04	Services
Atlas Copco USA Holdings Inc	Dept. CH 19511	Palatine	IL	60055-9511		7/31/2024	\$9,665.80	Services
BC ClinOps Consulting, LLC	25 Patricia Drive	Trappe	PA	19426		9/12/2024	\$8,337.50	Services
BC ClinOps Consulting, LLC	25 Patricia Drive	Trappe	PA	19426		9/25/2024	\$17,400.00	Services
BC ClinOps Consulting, LLC	25 Patricia Drive	Trappe	PA	19426		10/9/2024	\$11,600.00	Services
Beckman Coulter	Dept. CH 10164	Palatine	IL	60055-0164		8/29/2024	\$10,992.00	Services
Broadridge ICS Inc.	PO BOX 416423	Boston	MA	02241		7/16/2024	\$1,342.87	Services
Broadridge ICS Inc.	PO BOX 416423	Boston	MA	02241		8/13/2024	\$36,838.59	Services
Bruker Scientific LLC	40 Manning Road	Billerica	MA	01821		8/13/2024	\$58,130.00	Services
Chase	270 Park Ave	New York	NY	10017		9/23/2024	\$57,536.59	Supplier or vendors
Cigna Health and Life Insurance Company	900 Cottage Grove Road	Bloomsfield	CT	06002		7/12/2024	\$505.15	Services
Cigna Health and Life Insurance Company	900 Cottage Grove Road	Bloomsfield	CT	06002		7/31/2024	\$2,398.62	Services
Cigna Health and Life Insurance Company	900 Cottage Grove Road	Bloomsfield	CT	06002		8/12/2024	\$1,276.82	Services
Cigna Health and Life Insurance Company	900 Cottage Grove Road	Bloomsfield	CT	06002		8/29/2024	\$2,373.20	Services
Cigna Health and Life Insurance Company	900 Cottage Grove Road	Bloomsfield	CT	06002		9/25/2024	\$2,324.02	Services
Comcast	PO Box 37601	Philadelphia	PA	19101-0601		7/31/2024	\$3,967.23	Services
Comcast	PO Box 37601	Philadelphia	PA	19101-0601		8/29/2024	\$4,604.12	Services
Comcast	PO Box 37601	Philadelphia	PA	19101-0601		9/25/2024	\$3,780.17	Services
Crypto Group Holdings, LLC	34 Heath Street, Unit 3	Somerville	MA	02145		7/31/2024	\$4,320.00	Services
Crypto Group Holdings, LLC	34 Heath Street, Unit 3	Somerville	MA	02145		9/25/2024	\$10,080.00	Services
Crypto Group Holdings, LLC	34 Heath Street, Unit 3	Somerville	MA	02145		10/9/2024	\$13,920.00	Services
CYGNUS Technologies	P.O. BOX 889233	Los Angeles	CA	90088-9233		7/16/2024	\$47,000.00	
Cynthia Voong	Address on File					8/29/2024	\$15,720.00	Services
Cynthia Voong	Address on File					9/12/2024	\$10,440.00	Services
De Lage Landen Financial Services, Inc.	1111 Old Eagle School Road	Wayne	PA	19087		7/16/2024	\$21,174.00	Other
De Lage Landen Financial Services, Inc.	1111 Old Eagle School Road	Wayne	PA	19087		8/13/2024	\$21,174.00	Other
De Lage Landen Financial Services, Inc.	1111 Old Eagle School Road	Wayne	PA	19087		9/25/2024	\$21,174.00	Other
Deerfield Realty Corp. Trust A	3715 Haven Avenue, Suite 210	Menlo Park	CA	94025		8/1/2024	\$109,566.03	Other
Deerfield Realty Corp. Trust A	3715 Haven Avenue, Suite 210	Menlo Park	CA	94025		9/3/2024	\$109,566.03	Other
Deerfield Realty Corp. Trust A	3715 Haven Avenue, Suite 210	Menlo Park	CA	94025		10/4/2024	\$112,239.30	Other
Dhanik, Ankur	Address on File					7/15/2024	\$6,654.81	Other

## In re: Gritstone bio, Inc.

Case No. 24-12305

Attachment 3

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's name	Address 1	City	State	Zip	Country	Date	Total amount or value	Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other)
Dhanik, Ankur	Address on File					9/16/2024	\$768.00	Other
Dhanik, Ankur	Address on File					10/4/2024	\$3,871.27	Other
DSBio Consulting LLC	1303 Redwood Avenue	Annapolis	MD	21403		8/13/2024	\$4,125.00	Services
DSBio Consulting LLC	1303 Redwood Avenue	Annapolis	MD	21403		9/12/2024	\$4,125.00	Services
Effectus Group LLC	1735 Technology Drive, Suite 780	San Jose	CA	95110		8/13/2024	\$7,370.00	Services
Effectus Group LLC	1735 Technology Drive, Suite 780	San Jose	CA	95110		8/29/2024	\$3,300.00	Services
Emery Station West LLC	1120 Nye Street, Suite 400	San Rafael	CA	94901		8/1/2024	\$282,387.62	Other
Emery Station West LLC	1120 Nye Street, Suite 400	San Rafael	CA	94901		9/3/2024	\$282,097.62	Other
Emery Station West LLC	1120 Nye Street, Suite 400	San Rafael	CA	94901		10/8/2024	\$282,002.62	Other
Ernst & Young US LLP	PO Box 846793	Los Angeles	CA	90084-6793		8/13/2024	\$73,000.00	Services
Ernst & Young US LLP	PO Box 846793	Los Angeles	CA	90084-6793		8/29/2024	\$18,000.00	Services
Ernst & Young US LLP	PO Box 846793	Los Angeles	CA	90084-6793		9/25/2024	\$200,000.00	Services
Eversource Energy	Meter Technical Dept. MA-3	Dorchester	MA	02125		7/16/2024	\$12,998.90	Services
Eversource Energy	Meter Technical Dept. MA-3	Dorchester	MA	02125		8/13/2024	\$8,501.07	Services
Eversource Energy	Meter Technical Dept. MA-3	Dorchester	MA	02125		9/12/2024	\$14,124.14	Services
Fei Yu	Address on File					8/29/2024	\$18,480.00	Services
Fei Yu	Address on File					9/12/2024	\$27,302.19	Services
Fenwick & West LLP	P.O. Box 742814	Los Angeles	CA	90074-2814	United States	8/13/2024	\$49,536.10	Services
Fenwick & West LLP	P.O. Box 742814	Los Angeles	CA	90074-2814	United States	8/29/2024	\$4,520.05	Services
Fenwick & West LLP	P.O. Box 742814	Los Angeles	CA	90074-2814	United States	9/5/2024	\$105,419.41	Services
Fenwick & West LLP	P.O. Box 742814	Los Angeles	CA	90074-2814	United States	10/3/2024	\$460,706.92	Services
Ferguson, Andrew	Address on File					7/15/2024	\$2,173.69	Other
Ferguson, Andrew	Address on File					7/15/2024	\$4,048.72	Other
Ferguson, Andrew	Address on File					10/3/2024	\$2,824.07	Other
Fidelity Investments Institutional Operations Company LLC	P.O. BOX 73307	Chicago	IL	60673-7307		7/31/2024	\$227,127.86	Services
Fidelity Investments Institutional Operations Company LLC	P.O. BOX 73307	Chicago	IL	60673-7307		8/30/2024	\$208,852.74	Services
Fidelity Investments Institutional Operations Company LLC	P.O. BOX 73307	Chicago	IL	60673-7307		9/30/2024	\$179,203.58	Services
Fidelity Investments Institutional Operations Company LLC	P.O. BOX 73307	Chicago	IL	60673-7307		10/9/2024	\$88,079.01	Services
Fisher Scientific	Acct# 067440-001/003 13551 Collections Center Drive	Chicago	IL	60693		7/16/2024	\$37,589.48	Supplier or vendors
Fisher Scientific	Acct# 067440-001/003 13551 Collections Center Drive	Chicago	IL	60693		8/29/2024	\$5,629.87	Supplier or vendors
Genevant Sciences GmbH	Viaduktstrasse 8	Basel	4051		Switzerland	8/9/2024	\$500,000.00	Other
Genevant Sciences GmbH	Viaduktstrasse 8	Basel	4051		Switzerland	10/9/2024	\$1,000,000.00	Other
Goodwin Procter LLP	100 Northern Avenue	Boston	MA	02210		8/29/2024	\$164,867.06	Services
Goodwin Procter LLP	100 Northern Avenue	Boston	MA	02210		9/12/2024	\$201,868.78	Services
Goodwin Procter LLP	100 Northern Avenue	Boston	MA	02210		9/25/2024	\$20,000.00	Services
Goodwin Procter LLP	100 Northern Avenue	Boston	MA	02210		10/9/2024	\$278,506.94	Services
Henry C. Levy, Tax Collector, Alameda County	1221 Oak Street, Room 131	Oakland	CA	94612-4285		8/23/2024	\$82,134.60	Other
Henry C. Levy, Tax Collector, Alameda County	1221 Oak Street, Room 131	Oakland	CA	94612-4285		8/23/2024	\$187,513.54	Other
Hercules	1 North B Street Suite 2000	San Mateo	CA	94401		7/31/2024	\$296,382.08	Secured Debt
Hercules	1 North B Street Suite 2000	San Mateo	CA	94401		8/1/2024	\$306,771.93	Secured Debt
Hercules	1 North B Street Suite 2000	San Mateo	CA	94401		9/3/2024	\$307,300.25	Secured Debt
Hercules	1 North B Street Suite 2000	San Mateo	CA	94401		10/1/2024	\$297,899.50	Secured Debt
Illumina Inc.	12864 Collection Center Drive	Chicago	IL	60693-0128		9/12/2024	\$141,262.27	Supplier or vendors
Integrated DNA Technologies Inc. (IDT)	PO Box 74007330	Chicago	IL	60674-7330		7/16/2024	\$88,681.15	Supplier or vendors
Integrated DNA Technologies Inc. (IDT)	PO Box 74007330	Chicago	IL	60674-7330		8/29/2024	\$32.15	Supplier or vendors

## In re: Gritstone bio, Inc.

Case No. 24-12305

Attachment 3

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's name	Address 1	City	State	Zip	Country	Date	Total amount or value	Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other)
Jessica Abhyankar	Address on File					9/12/2024	\$10,462.50	Services
Jessica Abhyankar	Address on File					9/25/2024	\$7,425.00	Services
Kaiser Foundation Health Plan, Inc.	One Kaiser Plaza	Oakland	CA	94612		7/16/2024	\$44,822.75	Services
Kaiser Foundation Health Plan, Inc.	One Kaiser Plaza	Oakland	CA	94612		8/13/2024	\$61,075.17	Services
Kaiser Foundation Health Plan, Inc.	One Kaiser Plaza	Oakland	CA	94612		9/12/2024	\$60,750.88	Services
Kaiser Foundation Health Plan, Inc.	One Kaiser Plaza	Oakland	CA	94612		10/3/2024	\$49,562.98	Services
Kenmore Square Hotel LLC DBA Hotel Commonwealth	1991 Broadway Street, Suite 100	Redwood City	CA	94063		8/13/2024	\$13,000.00	Other
Kenmore Square Hotel LLC DBA Hotel Commonwealth	1991 Broadway Street, Suite 100	Redwood City	CA	94063		8/29/2024	\$13,000.00	Other
Life Insurance Company of North America	51 Madison Ave.	New York	NY	10010		7/31/2024	\$10,631.46	Services
Life Insurance Company of North America	51 Madison Ave.	New York	NY	10010		8/29/2024	\$10,342.24	Services
Life Insurance Company of North America	51 Madison Ave.	New York	NY	10010		9/25/2024	\$9,872.97	Services
Life Technologies Corporation	12088 Collection Center Drive	Chicago	IL	60693		7/16/2024	\$12,407.63	Supplier or vendors
Life Technologies Corporation	12088 Collection Center Drive	Chicago	IL	60693		8/29/2024	\$21,712.04	Supplier or vendors
Life Technologies Corporation	12088 Collection Center Drive	Chicago	IL	60693		9/25/2024	\$18,740.36	Supplier or vendors
LifeSci Advisors, LLC	250 West 55th Street, Suite 3401	New York	NY	10019		8/13/2024	\$7,785.69	Services
Linde Gas & Equipment Inc	10 Riverview Drive	Danbury	CT	06810		7/31/2024	\$12,810.24	Supplier or vendors
Linde Gas & Equipment Inc	10 Riverview Drive	Danbury	CT	06810		8/29/2024	\$14,890.69	Supplier or vendors
Linde Gas & Equipment Inc	10 Riverview Drive	Danbury	CT	06810		10/4/2024	\$22,091.43	Supplier or vendors
Lumarity Commercial BioConsulting Inc.	790 Township Line Road, Suite 200	Yardley	PA	19067		9/27/2024	\$25,000.00	Services
Mercury Business Services LLC	61 Batterymarch Street	Boston	MA	02110		7/16/2024	\$711.78	Services
Mercury Business Services LLC	61 Batterymarch Street	Boston	MA	02110		8/29/2024	\$6,984.42	Services
Middlesex Gases & Technologies	P.O. Box 490249	Everett	MA	02149		7/16/2024	\$1,636.59	Supplier or vendors
Middlesex Gases & Technologies	P.O. Box 490249	Everett	MA	02149		7/31/2024	\$1,368.77	Supplier or vendors
Middlesex Gases & Technologies	P.O. Box 490249	Everett	MA	02149		8/29/2024	\$4,515.45	Supplier or vendors
Middlesex Gases & Technologies	P.O. Box 490249	Everett	MA	02149		10/4/2024	\$6,847.54	Supplier or vendors
Moss Adams LLP	PO Box 101822	Pasadena	CA	91189-1822		8/13/2024	\$44,831.63	Services
Moss Adams LLP	PO Box 101822	Pasadena	CA	91189-1822		9/12/2024	\$6,600.00	Services
Moss Adams LLP	PO Box 101822	Pasadena	CA	91189-1822		10/3/2024	\$45,042.01	Services
New England Biolabs Inc.	P.O. Box 3933	Boston	MA	02241-3933		7/16/2024	\$4,825.29	Supplier or vendors
New England Biolabs Inc.	P.O. Box 3933	Boston	MA	02241-3933		8/29/2024	\$3,966.47	Supplier or vendors
OnQ Research Pty LTD.	250 Market Street	Fairlands	Johannesburg	2170	South Africa	7/16/2024	\$82,922.11	Services
OnQ Research Pty LTD.	250 Market Street	Fairlands	Johannesburg	2170	South Africa	9/3/2024	\$48,052.37	Services
Oracle America Inc.	Bank of America Lockbox Services 15612 Collections Center Drive	Chicago	IL	60693		7/16/2024	\$42,144.30	Supplier or vendors
PG&E	Box 997300	Sacramento	CA	95899-7300		8/6/2024	\$13,853.96	Services
PG&E	Box 997300	Sacramento	CA	95899-7300		8/20/2024	\$91,024.70	Services
PG&E	Box 997300	Sacramento	CA	95899-7300		10/4/2024	\$36,847.22	Services
PharmaLogics Recruiting LLC.	Attn: Accounts Payable 2 Heritage Drive, Suite 401	Quincy	MA	02171		7/16/2024	\$18,054.40	Services
PharmaLogics Recruiting LLC.	Attn: Accounts Payable 2 Heritage Drive, Suite 401	Quincy	MA	02171		10/9/2024	\$64,937.60	Services
Pleasanton Garbage Service	PO Box 399	Pleasanton	CA	94566-0399		7/16/2024	\$7,733.88	Services
Pleasanton Garbage Service	PO Box 399	Pleasanton	CA	94566-0399		8/13/2024	\$4,017.90	Services
Pleasanton Garbage Service	PO Box 399	Pleasanton	CA	94566-0399		9/25/2024	\$4,017.90	Services
PPD Development, L.P.	929 North Front Street	Wilmington	NC	28401		7/16/2024	\$124,054.88	Services
PPD Global LTD	Granta Park, Great Abington	Cambridge		CB21 6GQ	United Kingdom	9/3/2024	\$18,882.93	Services
PPD Global LTD	Granta Park, Great Abington	Cambridge		CB21 6GQ	United Kingdom	9/16/2024	\$8,437.73	Services

## In re: Gritstone bio, Inc.

Case No. 24-12305

Attachment 3

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's name	Address 1	City	State	Zip	Country	Date	Total amount or value	Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other)
Presidio Networked Solutions	P.O. Box 822169	Philadelphia	PA	19182-2169		7/16/2024	\$8,099.94	Services
Presidio Networked Solutions	P.O. Box 822169	Philadelphia	PA	19182-2169		8/29/2024	\$240.75	Services
Puretec Industrial Water	3151 Sturgis Road	Oxnard	CA	93030		7/16/2024	\$1,474.69	Services
Puretec Industrial Water	3151 Sturgis Road	Oxnard	CA	93030		8/29/2024	\$7,872.84	Services
Robinhood Market dba Say Technologies	85 Willow Road	Menlo Park	CA	94025		7/16/2024	\$8,464.03	Services
Rollins Road, LLC	235 Montgomery Street, Suite 629	San Francisco	CA	94104		7/31/2024	\$23,796.63	Other
Rollins Road, LLC	235 Montgomery Street, Suite 629	San Francisco	CA	94104		9/3/2024	\$23,796.63	Other
Rollins Road, LLC	235 Montgomery Street, Suite 629	San Francisco	CA	94104		10/3/2024	\$23,796.63	Other
RREF II Kenmore Lessor III LLC	30 Hudson Yards	New York	NY	10002		8/1/2024	\$635,864.10	Other
RREF II Kenmore Lessor III LLC	30 Hudson Yards	New York	NY	10002		9/3/2024	\$635,864.10	Other
RREF II Kenmore Lessor III LLC	30 Hudson Yards	New York	NY	10002		10/8/2024	\$635,864.10	Other
RREF II Kenmore Lessor IV LLC	30 Hudson Yards	New York	NY	10002		8/1/2024	\$210,608.41	Other
RREF II Kenmore Lessor IV LLC	30 Hudson Yards	New York	NY	10002		9/3/2024	\$210,608.41	Other
RREF II Kenmore Lessor IV LLC	30 Hudson Yards	New York	NY	10002		10/8/2024	\$210,608.41	Other
Segera Labs, S.L.	Carrer Ramon Turro, 142	Barcelona		08005	Spain	8/29/2024	\$23,000.00	Services
State of Delaware	150 Martin Luther King Jr Blvd South	Dover	DE	19901		9/3/2024	\$40,000.00	Other
Sue Evans	Address on File					8/29/2024	\$4,350.00	Services
Sue Evans	Address on File					9/12/2024	\$22,050.00	Services
Sue Evans	Address on File					10/9/2024	\$12,825.00	Services
Suvoda LLC	181 Washington Street, Suite 100	Conshohocken	PA	19428		8/29/2024	\$10,500.00	Services
Sware Inc.	214 Arlington Street	Chelsea	MA	02150		8/29/2024	\$15,000.00	Supplier or vendors
TechData Service Company LLC	108 Charlestown Hunt Drive	Phoenixville	PA	19460		7/16/2024	\$45,120.00	Services
TechData Service Company LLC	108 Charlestown Hunt Drive	Phoenixville	PA	19460		8/29/2024	\$29,280.00	Services
TechData Service Company LLC	108 Charlestown Hunt Drive	Phoenixville	PA	19460		10/9/2024	\$64,620.00	Services
Thermo Electron North America LLC	1400 Northpoint, Pkwy Suite 10	West Palm Beach	FL	33407		7/16/2024	\$19,673.97	Services
Thermo Electron North America LLC	1400 Northpoint, Pkwy Suite 10	West Palm Beach	FL	33407		8/29/2024	\$19,842.02	Services
Triumvirate Environmental, Inc.	DEPT 111047	Hartford	CT	06115-0502		7/16/2024	\$16,911.06	Services
Triumvirate Environmental, Inc.	DEPT 111047	Hartford	CT	06115-0502		8/29/2024	\$2,238.60	Services
UniFirst Corporation	PO Box 650481	Dallas	TX	75265-0481		7/16/2024	\$14,437.36	Services
Uppsala Monitoring Centre	Box 1051	Uppsala			Sweden	8/29/2024	\$11,544.95	Services
VWR International LLC	P.O. Box 640169	Pittsburgh	PA	15264-0169		7/16/2024	\$4,176.16	Supplier or vendors
VWR International LLC	P.O. Box 640169	Pittsburgh	PA	15264-0169		8/29/2024	\$292.32	Supplier or vendors
VWR International LLC	P.O. Box 640169	Pittsburgh	PA	15264-0169		9/25/2024	\$9,955.22	Supplier or vendors
Western Allied Mechanical Inc.	33210 Central Ave	Union City	CA	94587		7/16/2024	\$56,452.50	Services
Western Allied Mechanical Inc.	33210 Central Ave	Union City	CA	94587		8/29/2024	\$26,779.00	Services
Woodruff Sawyer & Company	P.O. Box 103627	Pasadena	CA	91189-3627		7/16/2024	\$49,094.00	Services
Woodruff Sawyer & Company	P.O. Box 103627	Pasadena	CA	91189-3627		8/13/2024	\$1,054.00	Services
Woodruff Sawyer & Company	P.O. Box 103627	Pasadena	CA	91189-3627		9/25/2024	\$597,340.72	Services
Woodruff Sawyer & Company	P.O. Box 103627	Pasadena	CA	91189-3627		10/9/2024	\$2,138,851.00	Services
World Courier Inc.	P.O. Box 842325	Boston	MA	02284-2325		7/16/2024	\$2,268.82	Services
World Courier Inc.	P.O. Box 842325	Boston	MA	02284-2325		8/13/2024	\$1,171.97	Services
World Courier Inc.	P.O. Box 842325	Boston	MA	02284-2325		8/29/2024	\$6,756.45	Services
Worldwide Clinical Trials Inc	600 Park Offices Dr., Suite 200	Durham	NC	27709		7/16/2024	\$191,189.24	Services
Worldwide Clinical Trials Inc	600 Park Offices Dr., Suite 200	Durham	NC	27709		8/13/2024	\$185,581.30	Services
Worldwide Clinical Trials Inc	600 Park Offices Dr., Suite 200	Durham	NC	27709		10/9/2024	\$918,411.86	Services
Xerox Financial Services	P.O. Box 202882	Dallas	TX	75320-2882		7/16/2024	\$6,964.06	Services
Xerox Financial Services	P.O. Box 202882	Dallas	TX	75320-2882		7/31/2024	\$1,284.46	Services
Xerox Financial Services	P.O. Box 202882	Dallas	TX	75320-2882		8/29/2024	\$7,189.31	Services
Xerox Financial Services	P.O. Box 202882	Dallas	TX	75320-2882		9/25/2024	\$6,944.68	Services
Yamartino Associates	107 Orchard Dr.	Sudbury	MA	01776		7/31/2024	\$15,000.00	Services

## In re: Gritstone bio, Inc.

Case No. 24-12305

Attachment 4

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Insider's name	Address 1	Address 2	City	State	Zip	Date	Total amount or value	Reasons for payment or transfer	Relationship to debtor
Agarwal, Shefali	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/19/2023	\$12,500.00	BOD Payment	Member, Board of Directors
Agarwal, Shefali	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$41,805.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Agarwal, Shefali	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/21/2024	\$13,000.00	BOD Payment	Member, Board of Directors
Agarwal, Shefali	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$42,831.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Agarwal, Shefali	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/27/2024	\$13,000.00	BOD Payment	Member, Board of Directors
Agarwal, Shefali	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$34,423.47	Stock-based compensation expense (non cash)	Member, Board of Directors
Agarwal, Shefali	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$6,993.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Agarwal, Shefali	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$13,000.00	BOD Payment	Member, Board of Directors
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/13/2023	\$27,083.34	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/1/2023	\$5,694.58	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/1/2023	\$5,980.84	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/1/2023	\$13,610.85	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/15/2023	\$27,083.34	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/30/2023	\$27,083.34	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/6/2023	\$9,269.67	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/15/2023	\$27,083.34	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/18/2023	\$9,293.96	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/29/2023	\$27,083.34	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$548,236.00	Stock-based compensation expense (non cash)	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/1/2024	\$576.00	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/12/2024	\$27,083.34	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/31/2024	\$27,083.34	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/6/2024	\$447,867.60	RSU Vesting	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/8/2024	\$6,427.75	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/15/2024	\$27,083.34	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/28/2024	\$355,208.41	Bonus	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/29/2024	\$27,083.34	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/15/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/29/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$645,857.00	Stock-based compensation expense (non cash)	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/5/2024	\$3,641.31	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/5/2024	\$17,377.05	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/15/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/29/2024	\$6,347.73	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/30/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$35,904.75	PSU	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/27/2024	\$6,792.48	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/31/2024	\$7,306.66	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/31/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/14/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/20/2024	\$105,000.00	Retention bonus	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/28/2024	\$411.55	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/28/2024	\$25,652.19	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/28/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$737,792.00	Stock-based compensation expense (non cash)	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/15/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/31/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/12/2024	\$36,194.90	RSU Vesting	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/15/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/29/2024	\$2,276.07	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/30/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/9/2024	\$5,071.77	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/13/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/23/2024	\$5,483.04	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$28,041.67	Payroll	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$774,644.00	Stock-based compensation expense (non cash)	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/3/2024	\$770.61	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/3/2024	\$6,622.56	Expense Reimbursement	President, CEO, Board Member
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/13/2024	\$27,083.34	Payroll	President, CEO, Board Member

## In re: Gritstone bio, Inc.

Case No. 24-12305

Attachment 4

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Insider's name	Address 1	Address 2	City	State	Zip	Date	Total amount or value	Reasons for payment or transfer	Relationship to debtor
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/13/2023	\$15,277.79	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/31/2023	\$15,239.59	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/15/2023	\$15,277.79	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/30/2023	\$15,239.59	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/14/2023	\$64.60	Expense Reimbursement	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/15/2023	\$15,277.79	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/29/2023	\$15,239.59	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$42,137.00	Stock-based compensation expense (non cash)	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/12/2024	\$15,281.95	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/31/2024	\$15,239.59	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/6/2024	\$37,443.66	RSU Vesting	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/15/2024	\$15,281.95	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/28/2024	\$127,093.81	Bonus	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/29/2024	\$15,239.59	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/15/2024	\$15,735.65	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/29/2024	\$15,696.78	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$56,816.00	Stock-based compensation expense (non cash)	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/15/2024	\$15,735.65	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/30/2024	\$15,696.78	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/7/2024	\$108.23	Expense Reimbursement	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$15,735.65	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/31/2024	\$15,696.78	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/14/2024	\$445.54	Expense Reimbursement	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/14/2024	\$15,735.65	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/20/2024	\$20,000.00	Retention bonus	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/28/2024	\$15,696.78	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$80,458.00	Stock-based compensation expense (non cash)	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/15/2024	\$15,735.70	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/29/2024	\$392.31	Expense Reimbursement	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/31/2024	\$15,696.78	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/12/2024	\$3,293.82	RSU Vesting	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/15/2024	\$15,735.65	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/30/2024	\$15,696.78	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/13/2024	\$15,735.65	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$15,696.78	Payroll	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$89,367.00	Stock-based compensation expense (non cash)	Senior Vice President, Finance and Chief Accounting Officer
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/3/2024	\$3,131.46	RSU Vesting	Senior Vice President, Finance and Chief Accounting Officer
Corey, Lawrence	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/14/2023	\$1,569.60	Expense Reimbursement	Member, Board of Directors
Corey, Lawrence	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/19/2023	\$11,000.00	BOD Payment	Member, Board of Directors
Corey, Lawrence	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$30,880.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Corey, Lawrence	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/21/2024	\$11,250.00	BOD Payment	Member, Board of Directors
Corey, Lawrence	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$31,866.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Corey, Lawrence	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/27/2024	\$11,250.00	BOD Payment	Member, Board of Directors
Corey, Lawrence	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$30,093.99	Stock-based compensation expense (non cash)	Member, Board of Directors
Corey, Lawrence	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$11,250.00	BOD Payment	Member, Board of Directors
Corey, Lawrence	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$16,036.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/13/2023	\$18,333.34	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/31/2023	\$18,333.34	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/15/2023	\$18,333.34	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/30/2023	\$18,333.34	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/1/2023	\$9,285.64	Expense Reimbursement	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/11/2023	\$4,215.41	Expense Reimbursement	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/15/2023	\$18,333.34	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/21/2023	\$4,009.75	Expense Reimbursement	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/29/2023	\$18,333.34	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$163,483.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/12/2024	\$18,333.34	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/31/2024	\$18,333.34	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/6/2024	\$63,079.32	RSU Vesting	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/15/2024	\$18,333.34	Payroll	Executive Vice President and Chief Financial Officer

## In re: Gritstone bio, Inc.

Case No. 24-12305

Attachment 4

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Insider's name	Address 1	Address 2	City	State	Zip	Date	Total amount or value	Reasons for payment or transfer	Relationship to debtor
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/28/2024	\$174,666.72	Bonus	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/29/2024	\$18,333.34	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/15/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/29/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$177,540.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/15/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/30/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/7/2024	\$4,918.75	Expense Reimbursement	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$20,346.00	PSU	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/31/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/14/2024	\$7,578.68	Expense Reimbursement	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/14/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/20/2024	\$30,000.00	Retention bonus	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/28/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$268,782.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/15/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/31/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/12/2024	\$12,351.10	RSU Vesting	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/15/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/30/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/13/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/23/2024	\$3,314.10	Expense Reimbursement	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$997.87	Expense Reimbursement	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$19,250.01	Payroll	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$273,655.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Financial Officer
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/3/2024	\$792.00	Expense Reimbursement	Executive Vice President and Chief Financial Officer
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/27/2023	\$2,770.95	Expense Reimbursement	Member, Board of Directors
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/19/2023	\$12,000.00	BOD Payment	Member, Board of Directors
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$48,571.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/21/2024	\$12,500.00	BOD Payment	Member, Board of Directors
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$49,599.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/14/2024	\$67.03	Expense Reimbursement	Member, Board of Directors
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/27/2024	\$12,500.00	BOD Payment	Member, Board of Directors
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$47,864.29	Stock-based compensation expense (non cash)	Member, Board of Directors
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$12,500.00	BOD Payment	Member, Board of Directors
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$33,763.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/13/2023	\$17,991.67	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/31/2023	\$17,916.67	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/15/2023	\$17,991.67	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/30/2023	\$17,916.67	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/15/2023	\$17,991.67	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/27/2023	\$18,089.66	Expense Reimbursement	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/29/2023	\$17,991.67	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$127,163.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/12/2024	\$17,991.67	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/31/2024	\$17,916.67	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/6/2024	\$109,228.92	RSU Vesting	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/15/2024	\$17,991.67	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/28/2024	\$170,666.69	Bonus	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/29/2024	\$17,916.67	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/7/2024	\$11,636.15	Expense Reimbursement	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/15/2024	\$18,618.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/29/2024	\$18,543.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$165,993.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/5/2024	\$4,881.81	Expense Reimbursement	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/15/2024	\$18,618.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/30/2024	\$18,543.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/7/2024	\$157.74	Expense Reimbursement	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$14,361.75	PSU	Executive Vice President and Chief Business Officer

## In re: Gritstone bio, Inc.

Case No. 24-12305

Attachment 4

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Insider's name	Address 1	Address 2	City	State	Zip	Date	Total amount or value	Reasons for payment or transfer	Relationship to debtor
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$18,618.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/27/2024	\$12,130.00	Expense Reimbursement	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/31/2024	\$18,543.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/14/2024	\$18,618.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/20/2024	\$35,000.00	Retention bonus	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/28/2024	\$8,510.64	Expense Reimbursement	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/28/2024	\$18,543.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$194,591.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/15/2024	\$18,618.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/31/2024	\$18,543.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/12/2024	\$9,953.38	RSU Vesting	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/15/2024	\$18,618.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/30/2024	\$18,543.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/2/2024	\$6,805.43	Expense Reimbursement	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/13/2024	\$18,618.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$18,543.75	Payroll	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$210,636.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Business Officer
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/3/2024	\$23,259.25	Expense Reimbursement	Executive Vice President and Chief Business Officer
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/20/2023	\$1,711.61	Expense Reimbursement	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/19/2023	\$21,875.00	BOD Payment	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$21,801.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/20/2024	\$2,661.68	Expense Reimbursement	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/21/2024	\$22,313.00	BOD Payment	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$22,821.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/16/2024	\$815.06	Expense Reimbursement	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/27/2024	\$22,313.00	BOD Payment	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$21,085.61	Stock-based compensation expense (non cash)	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/23/2024	\$254.00	Expense Reimbursement	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$6,993.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$22,313.00	BOD Payment	Member, Board of Directors
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/13/2023	\$20,416.67	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/31/2023	\$20,416.67	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/15/2023	\$20,416.67	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/30/2023	\$20,416.67	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/1/2023	\$2,147.39	Expense Reimbursement	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/15/2023	\$20,416.67	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/29/2023	\$20,416.67	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$196,625.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/12/2024	\$20,416.67	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/31/2024	\$20,416.67	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/6/2024	\$153,838.56	RSU Vesting	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/15/2024	\$20,416.67	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/28/2024	\$193,333.36	Bonus	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/29/2024	\$20,416.67	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/14/2024	\$1,274.48	Expense Reimbursement	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/15/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/29/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$244,723.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/15/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/30/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$20,346.00	PSU	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/31/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/10/2024	\$2,724.46	Expense Reimbursement	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/14/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/20/2024	\$45,000.00	Retention bonus	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/28/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$281,984.70	Stock-based compensation expense (non cash)	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/15/2024	\$2,918.18	Expense Reimbursement	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/15/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer

## In re: Gritstone bio, Inc.

Case No. 24-12305

Attachment 4

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Insider's name	Address 1	Address 2	City	State	Zip	Date	Total amount or value	Reasons for payment or transfer	Relationship to debtor
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/31/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/12/2024	\$12,351.10	RSU Vesting	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/15/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/30/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/13/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/16/2024	\$257.23	Expense Reimbursement	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$21,131.25	Payroll	Executive Vice President and Chief Operating Officer
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$290,439.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief Operating Officer
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/13/2023	\$22,121.54	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/31/2023	\$22,083.34	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/15/2023	\$22,121.54	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/30/2023	\$22,083.34	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/1/2023	\$15,094.08	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/7/2023	\$7,990.94	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/15/2023	\$22,121.54	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/20/2023	\$1,442.02	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/29/2023	\$22,183.34	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$191,975.00	Stock-based compensation expense (non cash)	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/12/2024	\$22,125.70	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/31/2024	\$22,083.34	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/6/2024	\$153,737.70	RSU Vesting	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/15/2024	\$3,621.81	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/15/2024	\$22,122.21	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/28/2024	\$210,000.05	Bonus	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/29/2024	\$22,083.34	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/14/2024	\$9,675.03	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/15/2024	\$22,895.13	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/29/2024	\$22,856.26	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$229,686.00	Stock-based compensation expense (non cash)	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/10/2024	\$16,557.96	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/15/2024	\$22,895.05	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/30/2024	\$22,856.26	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/7/2024	\$10,205.32	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$20,384.87	PSU	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$22,856.26	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/31/2024	\$22,856.26	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/11/2024	\$9,605.76	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/14/2024	\$22,895.13	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/20/2024	\$45,000.00	Retention bonus	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/28/2024	\$22,856.26	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$281,669.39	Stock-based compensation expense (non cash)	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/15/2024	\$22,895.13	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/31/2024	\$22,856.26	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/12/2024	\$12,351.10	RSU Vesting	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/15/2024	\$22,895.13	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/30/2024	\$22,856.26	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/2/2024	\$6,216.00	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/13/2024	\$22,895.13	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/16/2024	\$2,605.01	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$695.00	Expense Reimbursement	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$22,856.26	Payroll	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$290,063.00	Stock-based compensation expense (non cash)	Executive Vice President and Head of Research & Development
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/3/2024	\$790.97	Expense Reimbursement	Executive Vice President and Head of Research & Development
Krognes, Steve	Address on File				12/19/2023		\$15,000.00	BOD Payment	Former Member, Board of Directors
Krognes, Steve	Address on File				12/31/2023		\$21,801.00	Stock-based compensation expense (non cash)	Former Member, Board of Directors
Krognes, Steve	Address on File				3/1/2024		\$151.68	Expense Reimbursement	Former Member, Board of Directors
Krognes, Steve	Address on File				3/21/2024		\$15,625.00	BOD Payment	Former Member, Board of Directors
Krognes, Steve	Address on File				3/31/2024		\$22,821.00	Stock-based compensation expense (non cash)	Former Member, Board of Directors
Krognes, Steve	Address on File				5/15/2024		\$1,220.31	Expense Reimbursement	Former Member, Board of Directors
Krognes, Steve	Address on File				6/1/2024		\$13,393.00	BOD Payment	Former Member, Board of Directors

## In re: Gritstone bio, Inc.

Case No. 24-12305

Attachment 4

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Insider's name	Address 1	Address 2	City	State	Zip	Date	Total amount or value	Reasons for payment or transfer	Relationship to debtor
Krognes, Steve	Address on File					6/30/2024	\$20,056.77	Stock-based compensation expense (non cash)	Former Member, Board of Directors
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/13/2023	\$15,833.34	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/31/2023	\$15,833.34	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/1/2023	\$2,570.80	Expense Reimbursement	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/15/2023	\$15,833.34	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	11/30/2023	\$15,833.34	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/15/2023	\$15,833.34	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/21/2023	\$8,208.33	Expense Reimbursement	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/27/2023	\$334.14	Expense Reimbursement	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/29/2023	\$15,833.34	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$94,510.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/12/2024	\$15,833.34	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	1/31/2024	\$15,833.34	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/6/2024	\$39,952.86	RSU Vesting	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/15/2024	\$15,833.34	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/28/2024	\$149,766.72	Bonus	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/29/2024	\$15,833.34	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/15/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/29/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$115,878.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/5/2024	\$2,797.47	Expense Reimbursement	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/15/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	4/30/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$14,361.75	PSU	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/31/2024	\$248.16	Expense Reimbursement	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/31/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/14/2024	\$3,063.29	Expense Reimbursement	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/14/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/20/2024	\$30,000.00	Retention bonus	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/28/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$171,338.27	Stock-based compensation expense (non cash)	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/15/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/31/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/12/2024	\$8,143.78	RSU Vesting	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/15/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/30/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/13/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$16,466.67	Payroll	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$173,655.00	Stock-based compensation expense (non cash)	Executive Vice President and Chief People Officer
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608	10/2/2024	\$749.88	Expense Reimbursement	Executive Vice President and Chief People Officer
Rizvi, Naiyer	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/19/2023	\$12,875.00	BOD Payment	Member, Board of Directors
Rizvi, Naiyer	5959 Horton Street	Suite 300	Emeryville	CA	94608	12/31/2023	\$41,805.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Rizvi, Naiyer	5959 Horton Street	Suite 300	Emeryville	CA	94608	2/21/2024	\$2,552.36	Expense Reimbursement	Member, Board of Directors
Rizvi, Naiyer	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/21/2024	\$13,313.00	BOD Payment	Member, Board of Directors
Rizvi, Naiyer	5959 Horton Street	Suite 300	Emeryville	CA	94608	3/31/2024	\$42,831.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Rizvi, Naiyer	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/27/2024	\$13,313.00	BOD Payment	Member, Board of Directors
Rizvi, Naiyer	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$34,423.47	Stock-based compensation expense (non cash)	Member, Board of Directors
Rizvi, Naiyer	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$6,993.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Rizvi, Naiyer	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$13,313.00	BOD Payment	Member, Board of Directors
Webster, Stephen	5959 Horton Street	Suite 300	Emeryville	CA	94608	5/15/2024	\$825.96	Expense Reimbursement	Member, Board of Directors
Webster, Stephen	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/27/2024	\$2,404.00	Expense Reimbursement	Member, Board of Directors
Webster, Stephen	5959 Horton Street	Suite 300	Emeryville	CA	94608	6/30/2024	\$4,050.90	Stock-based compensation expense (non cash)	Member, Board of Directors
Webster, Stephen	5959 Horton Street	Suite 300	Emeryville	CA	94608	7/8/2024	\$7,750.00	Expense Reimbursement	Member, Board of Directors
Webster, Stephen	5959 Horton Street	Suite 300	Emeryville	CA	94608	8/22/2024	\$301.90	Expense Reimbursement	Member, Board of Directors
Webster, Stephen	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$6,405.00	Stock-based compensation expense (non cash)	Member, Board of Directors
Webster, Stephen	5959 Horton Street	Suite 300	Emeryville	CA	94608	9/30/2024	\$15,625.00	BOD Payment	Member, Board of Directors

**In re: Gritstone bio, Inc.**  
**Case No. 24-12305**  
Attachment 11  
Payments related to bankruptcy

Who was paid or who received the transfer?	Address	City	State	Zip	Email or website address	Who made the payment, if not debtor	If not money, describe any property transferred	Dates	Total amount or value
Back Bay Management Corp	2 Park Plaza, Suite 500	Boston	MA	02116	backbaygroup.com			10/3/2024	\$207,861.84
Back Bay Management Corp	2 Park Plaza, Suite 500	Boston	MA	02116	backbaygroup.com			10/9/2024	\$142,138.16
C Street Advisory Group, LLC	880 Third Ave, Floor 11	New York	NY	10022	www.thecstreet.com			10/1/2024	\$110,000.00
C Street Advisory Group, LLC	880 Third Ave, Floor 11	New York	NY	10022	www.thecstreet.com			10/3/2024	\$110,000.00
Fenwick & West LLP	P.O. Box 742814	Los Angeles	CA	90074-2814	www.fenwick.com			9/5/2024	\$10,828.29
Fenwick & West LLP	P.O. Box 742814	Los Angeles	CA	90074-2814	www.fenwick.com			10/3/2024	\$182,947.16
KCC Global Administration Restructuring	222 N Pacific Coast Hwy	El Segundo	CA	90245	www.veritaglobal.com			10/9/2024	\$20,000.00
Pachulski Stang Ziehl & Jones LLP	10100 Santa Monica Blvd, Suite 1300	Los Angeles	CA	90067	www.pszjlaw.com			7/2/2024	\$100,000.00
Pachulski Stang Ziehl & Jones LLP	10101 Santa Monica Blvd, Suite 1300	Los Angeles	CA	90067	www.pszjlaw.com			8/13/2024	\$9,888.00
Pachulski Stang Ziehl & Jones LLP	10102 Santa Monica Blvd, Suite 1300	Los Angeles	CA	90067	www.pszjlaw.com			8/29/2024	\$8,646.50
Pachulski Stang Ziehl & Jones LLP	10103 Santa Monica Blvd, Suite 1300	Los Angeles	CA	90067	www.pszjlaw.com			9/12/2024	\$750,000.00
Pachulski Stang Ziehl & Jones LLP	10104 Santa Monica Blvd, Suite 1300	Los Angeles	CA	90067	www.pszjlaw.com			10/3/2024	\$750,000.00
PWC US Business Advisory LLP	P.O. Box 200988	Dallas	TX	75320-0988	www.pwc.com			9/10/2024	\$200,000.00
PWC US Business Advisory LLP	P.O. Box 200988	Dallas	TX	75320-0988	www.pwc.com			9/12/2024	\$200,000.00
PWC US Business Advisory LLP	P.O. Box 200988	Dallas	TX	75320-0988	www.pwc.com			10/3/2024	\$500,000.00
Raymond James & Associates, Inc.	880 Carillon Parkway	St. Petersburg	FL	33716	www.raymondjames.com			9/9/2024	\$100,000.00
Raymond James & Associates, Inc.	880 Carillon Parkway	St. Petersburg	FL	33716	www.raymondjames.com			10/1/2024	\$105,000.00

**In re: Gritstone bio, Inc.****Case No. 24-12305**

Attachment 26b

Books, records and financial statements - Auditors

Name	Address 1	Address 2	City	State	Zip	Title	Dates of service
Celia Economides	5959 Horton Street	Suite 300	Emeryville	CA	94608	Executive Vice President and Chief Financial Officer	11/2019 - Current
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608	Senior Vice President, Finance and Chief Accounting Officer	6/2021 - Current
Ernst & Young US LLP	520 South El Camino Real	Suite 700	San Mateo	CA	94402	External auditor	1/2016 - Current
Moss Adams LLP	101 2nd Street	Suite 900	San Francisco	CA	94105	Internal auditor	1/2022 - Current
Moss Adams LLP	101 2nd Street	Suite 900	San Francisco	CA	94105	401(k) audit	5/2024 - 8/2024

**In re: Gritstone bio, Inc.****Case No. 24-12305**

Attachment 26c

Books, records and financial statements - Firms in possession of books and records

Name	Address 1	Address 2	City	State	Zip	If any books of account and records are unavailable, explain why
Celia Economides	5959 Horton Street	Suite 300	Emeryville	CA	94608	
Ernst & Young US LLP	520 South El Camino Real	Suite 700	San Mateo	CA	94402	
James Cho	5959 Horton Street	Suite 300	Emeryville	CA	94608	
Moss Adams LLP	101 2nd Street	Suite 900	San Francisco	CA	94105	
Moss Adams LLP	101 2nd Street	Suite 900	San Francisco	CA	94105	

**In re: Gritstone bio, Inc.****Case No. 24-12305**

Attachment 28

Current Partners, Officers, Directors and Shareholders

Name	Address 1	Address 2	City	State	Zip	Country	Position and nature of any interest	% of interest, if any	Notes
Agarwal, Shefali	5959 Horton Street	Suite 300	Emeryville	CA	94608		Board of Director		
Allen, Andrew	5959 Horton Street	Suite 300	Emeryville	CA	94608		Chief Executive Officer	1.534%	As reported on Form 4 as of 6/24/24
Cho, James	5959 Horton Street	Suite 300	Emeryville	CA	94608		Chief Accounting Officer	< 1%	As reported on Form 4 as of 5/3/24
Corey, Lawrence	5959 Horton Street	Suite 300	Emeryville	CA	94608		Board of Director		
DWS Investments UK Limited	21 Moorsfields		London		EC2Y 9DB	United Kingdom	Shareholder holding in excess of 5% common stock	5.330%	Position as of 6/30/24
Economides, Celia	5959 Horton Street	Suite 300	Emeryville	CA	94608		Chief Financial Officer	< 1%	As reported on Form 4 as of 5/16/24
Fisher, Clare-Marie	5959 Horton Street	Suite 300	Emeryville	CA	94608		Board of Director		
Hawryluk, Matthew	5959 Horton Street	Suite 300	Emeryville	CA	94608		Chief Business Officer	< 1%	As reported on Form 4 as of 5/16/24
Jones, Elaine	5959 Horton Street	Suite 300	Emeryville	CA	94608		Board of Director		
Jones, Erin	5959 Horton Street	Suite 300	Emeryville	CA	94608		Board of Director	< 1%	As reported on Form 4 as of 5/16/24
Jooss, Karin	5959 Horton Street	Suite 300	Emeryville	CA	94608		Board of Director	< 1%	As reported on Form 4 as of 5/16/24
Morgan Stanley	1585 Broadway		New York	NY	10036		Shareholder holding in excess of 5% common stock	8.717%	As reported on Form 13G as of 9/30/24
Proctor, Stacy	5959 Horton Street	Suite 300	Emeryville	CA	94608		Chief People Officer	< 1%	
Redmile Group	1 Letterman Dr.		San Francisco	CA	94129		Shareholder holding in excess of 5% common stock	5.140%	Position as of 6/30/24
Rizvi, Naiyer	5959 Horton Street	Suite 300	Emeryville	CA	94608		Board of Director		
The Vanguard Group	P.O. Box 982902		El Paso	TX	79998-2902		Shareholder holding in excess of 5% common stock	6.810%	Position as of 6/30/24
Versant Venture Management, LLC (and affiliates)	One Sansome Street		San Francisco	CA	94104		Shareholder holding in excess of 5% common stock	8.990%	Per stock transfer agent
Webster, Stephen	5959 Horton Street	Suite 300	Emeryville	CA	94608		Board of Director	< 1%	As reported on Form 4 as of 4/26/24